

QUARTERLY STATEMENT

AS OF JUNE 30, 2019

OF THE CONDITION AND AFFAIRS OF THE

UNITED STATES FIDELITY AND GUARANTY COMPANY

NAIC Group Code 3548 3548 NAIC Company Code 25887 Employer's ID Number 52-0515280
(Current Period) (Prior Period)

Organized under the Laws of Connecticut, State of Domicile or Port of Entry Connecticut, Country of Domicile US

INCORPORATED/ORGANIZED, MARCH 19, 1896 COMMENCED BUSINESS, AUGUST 1, 1896

Statutory Home Office: One Tower Square, Hartford, CT, US 06183
Main Administrative Office: One Tower Square, Hartford, CT, US 06183 (860) 277-0111
Mail Address: One Tower Square, Hartford, CT, US 06183
Primary Location of Books and Records: 385 Washington Street, St. Paul, MN, US 55102 (651) 310-7911
Internet Website Address: www.travelers.com
Statutory Statement Contact: Michael J. Doody (860) 277-3966
Annual.Statement.Contact@travelers.com (844) 816-9447
(E-Mail Address) (Fax Number)

OFFICERS

Table with 4 columns: Name, Title, Name, Title. Lists officers including Nicholas Seminara, Jay Steven Benet, William Herbert Heyman, Avrohom Yaakov Kess, Andy Francis Besette, Daniel Stephen Frey, Bruce Richard Jones, Christine Kucera Kalla, Mojgan Mehdian Lefebvre, Douglas Keith Bell, Douglas Kenneth Russell, Scott William Rynda, Julie Marie Joyce, and Wendy Constance Skjerven.

DIRECTORS

DANIEL STEPHEN FREY WILLIAM HERBERT HEYMAN CHRISTINE KUCERA KALLA NICHOLAS SEMINARA GREGORY CHESHIRE TOCZYDLOWSKI

STATE OF MINNESOTA }
COUNTY OF RAMSEY } ss:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Handwritten signature of Nicholas Seminara

Nicholas Seminara

President

Handwritten signature of Wendy C. Skjerven

Wendy C. Skjerven

Secretary

Handwritten signature of Douglas K. Russell

Douglas K. Russell

Controller

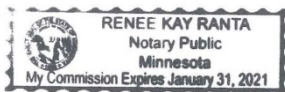
Subscribed and sworn to before me this

23rd day of July, 2019

Handwritten signature of Renee Kay Ranta

Notary Public

My Commission Expires January 31, 2021



- a. Is this an original filing? Yes [X] No [ ]
b. If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	2,783,468,317	0	2,783,468,317	2,727,635,009
2. Stocks:				
2.1 Preferred stocks.....	0	0	0	0
2.2 Common stocks.....	0	0	0	107,065,698
3. Mortgage loans on real estate:				
3.1 First liens.....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	0	0	0	0
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	0	0	0	0
4.3 Properties held for sale (less \$.....0 encumbrances).....	0	0	0	0
5. Cash (\$.....(2,625,767)), cash equivalents (\$.....0) and short-term investments (\$.....127,994,979).....	125,369,212	0	125,369,212	83,925,010
6. Contract loans (including \$.....0 premium notes).....	0	0	0	0
7. Derivatives.....	0	0	0	0
8. Other invested assets.....	2	0	2	2
9. Receivables for securities.....	4,825,000	0	4,825,000	0
10. Securities lending reinvested collateral assets.....	35,753,221	0	35,753,221	16,685,046
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	2,949,415,752	0	2,949,415,752	2,935,310,766
13. Title plants less \$.....0 charged off (for Title insurers only).....	0	0	0	0
14. Investment income due and accrued.....	25,986,892	0	25,986,892	27,389,784
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	140,502,224	7,859,795	132,642,429	123,726,870
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....5,934,114 earned but unbilled premiums).....	246,612,790	1,444,819	245,167,971	222,043,567
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....	3,837,195	250,912	3,586,282	3,675,268
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	7,267,939	0	7,267,939	9,932,970
16.2 Funds held by or deposited with reinsured companies.....	57,384	0	57,384	56,433
16.3 Other amounts receivable under reinsurance contracts.....	0	0	0	0
17. Amounts receivable relating to uninsured plans.....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon.....	1,339,038	0	1,339,038	1,658,856
18.2 Net deferred tax asset.....	24,458,262	0	24,458,262	21,938,321
19. Guaranty funds receivable or on deposit.....	134,847	0	134,847	160,777
20. Electronic data processing equipment and software.....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$.....0).....	79,397	79,397	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates.....	0	0	0	0
24. Health care (\$.....0) and other amounts receivable.....	0	0	0	0
25. Aggregate write-ins for other than invested assets.....	10,985,550	232,715	10,752,835	12,576,245
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	3,410,677,269	9,867,639	3,400,809,630	3,358,469,858
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0	0
28. Total (Lines 26 and 27).....	3,410,677,269	9,867,639	3,400,809,630	3,358,469,858

## DETAILS OF WRITE-INS

1101.....	0	0	0	0
1102.....	0	0	0	0
1103.....	0	0	0	0
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Equities and deposits in pools and associations.....	6,413,002	0	6,413,002	6,323,043
2502. State surcharges receivable.....	2,151,033	0	2,151,033	2,007,041
2503. Amounts receivable under high deductible policies.....	2,309,029	232,715	2,076,314	2,628,754
2598. Summary of remaining write-ins for Line 25 from overflow page.....	112,486	0	112,486	1,617,407
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	10,985,550	232,715	10,752,835	12,576,245

# UNITED STATES FIDELITY AND GUARANTY COMPANY

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....219,711,062).....	1,400,857,014	1,383,990,915
2. Reinsurance payable on paid losses and loss adjustment expenses.....	54,240,057	68,771,238
3. Loss adjustment expenses.....	320,352,651	317,601,454
4. Commissions payable, contingent commissions and other similar charges.....	24,655,311	33,307,362
5. Other expenses (excluding taxes, licenses and fees).....	22,329,183	26,900,917
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	11,777,797	14,275,307
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....	0	0
7.2 Net deferred tax liability.....	0	0
8. Borrowed money \$.....0 and interest thereon \$.....0.....	0	0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....952,298 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....	506,602,843	481,780,253
10. Advance premium.....	0	0
11. Dividends declared and unpaid:		
11.1 Stockholders.....	0	0
11.2 Policyholders.....	2,763,414	2,706,623
12. Ceded reinsurance premiums payable (net of ceding commissions).....	19,835,027	8,957,809
13. Funds held by company under reinsurance treaties.....	0	0
14. Amounts withheld or retained by company for account of others.....	2,367,949	2,202,760
15. Remittances and items not allocated.....	38,886	35,904
16. Provision for reinsurance (including \$.....0 certified).....	0	0
17. Net adjustments in assets and liabilities due to foreign exchange rates.....	0	0
18. Drafts outstanding.....	0	0
19. Payable to parent, subsidiaries and affiliates.....	24,024,029	5,129,025
20. Derivatives.....	0	0
21. Payable for securities.....	34,463,499	0
22. Payable for securities lending.....	35,753,221	16,685,046
23. Liability for amounts held under uninsured plans.....	0	0
24. Capital notes \$.....0 and interest thereon \$.....0.....	0	0
25. Aggregate write-ins for liabilities.....	11,853,711	11,918,792
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	2,471,914,594	2,374,263,407
27. Protected cell liabilities.....	0	0
28. Total liabilities (Lines 26 and 27).....	2,471,914,594	2,374,263,407
29. Aggregate write-ins for special surplus funds.....	2,277,566	2,275,205
30. Common capital stock.....	35,214,075	35,214,075
31. Preferred capital stock.....	0	0
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....	0	0
34. Gross paid in and contributed surplus.....	236,805,261	236,805,261
35. Unassigned funds (surplus).....	654,598,134	709,911,909
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 30 \$.....0).....	0	0
36.2 .....0.000 shares preferred (value included in Line 31 \$.....0).....	0	0
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	928,895,037	984,206,451
38. Totals (Page 2, Line 28, Col. 3).....	3,400,809,630	3,358,469,858

### DETAILS OF WRITE-INS

2501. Investment real estate liability.....	14,039,042	13,236,580
2502. Retroactive reinsurance reserve assumed.....	52,028	52,070
2503. Escheat liability.....	0	865,086
2598. Summary of remaining write-ins for Line 25 from overflow page.....	(2,237,359)	(2,234,944)
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	11,853,711	11,918,792
2901. Special surplus from retroactive reinsurance.....	2,277,566	2,275,205
2902. ....	0	0
2903. ....	0	0
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	2,277,566	2,275,205
3201. ....	0	0
3202. ....	0	0
3203. ....	0	0
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct..... (written \$.....(3,780,263)).....	207,256	(1,710,430)	(338,236)
1.2 Assumed..... (written \$.....556,637,307).....	532,147,844	505,096,397	1,035,107,570
1.3 Ceded..... (written \$.....(3,779,471)).....	208,048	(3,923,313)	(3,467,296)
1.4 Net..... (written \$.....556,636,515).....	532,147,052	507,309,280	1,038,236,629
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....312,453,670):			
2.1 Direct.....	12,897,752	6,769,348	5,337,658
2.2 Assumed.....	303,338,972	294,844,291	607,109,246
2.3 Ceded.....	13,629,567	6,146,587	2,013,853
2.4 Net.....	302,607,157	295,467,052	610,433,051
3. Loss adjustment expenses incurred.....	57,157,513	52,363,882	109,618,700
4. Other underwriting expenses incurred.....	159,575,229	154,537,747	304,830,169
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	519,339,898	502,368,681	1,024,881,920
7. Net income of protected cells.....	0	0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	12,807,153	4,940,600	13,354,709
<b>INVESTMENT INCOME</b>			
9. Net investment income earned.....	47,038,822	46,448,588	97,969,070
10. Net realized capital gains (losses) less capital gains tax of \$.....758,546.....	5,385,311	(648,391)	(232,134)
11. Net investment gain (loss) (Lines 9 + 10).....	52,424,133	45,800,197	97,736,936
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....169,137 amount charged off \$.....1,375,019).....	(1,205,882)	(920,221)	(2,253,119)
13. Finance and service charges not included in premiums.....	1,992,577	1,720,661	3,568,285
14. Aggregate write-ins for miscellaneous income.....	(4,898)	(1,561,590)	(879,447)
15. Total other income (Lines 12 through 14).....	781,797	(761,150)	435,718
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	66,013,083	49,979,647	111,527,364
17. Dividends to policyholders.....	879,832	966,811	2,046,695
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	65,133,251	49,012,836	109,480,669
19. Federal and foreign income taxes incurred.....	10,731,558	9,826,905	17,254,208
20. Net income (Line 18 minus Line 19) (to Line 22).....	54,401,693	39,185,931	92,226,461
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year.....	984,206,451	985,820,965	985,820,965
22. Net income (from Line 20).....	54,401,693	39,185,931	92,226,461
23. Net transfers (to) from Protected Cell accounts.....	0	0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....(6,130).....	(4,761,037)	3,057,405	199,566
25. Change in net unrealized foreign exchange capital gain (loss).....	1,181	172	(1,885)
26. Change in net deferred income tax.....	2,513,811	4,768,936	4,587,704
27. Change in nonadmitted assets.....	(401,364)	(18,267)	(126,360)
28. Change in provision for reinsurance.....	0	0	0
29. Change in surplus notes.....	0	0	0
30. Surplus (contributed to) withdrawn from protected cells.....	0	0	0
31. Cumulative effect of changes in accounting principles.....	0	0	0
32. Capital changes:			
32.1 Paid in.....	0	0	0
32.2 Transferred from surplus (Stock Dividend).....	0	0	0
32.3 Transferred to surplus.....	0	0	0
33. Surplus adjustments:			
33.1 Paid in.....	0	0	0
33.2 Transferred to capital (Stock Dividend).....	0	0	0
33.3 Transferred from capital.....	0	0	0
34. Net remittances from or (to) Home Office.....	0	0	0
35. Dividends to stockholders.....	(107,065,698)	0	(98,500,000)
36. Change in treasury stock.....	0	0	0
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	(55,311,414)	46,994,177	(1,614,514)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	928,895,037	1,032,815,141	984,206,451
<b>DETAILS OF WRITE-INS</b>			
0501. ....	0	0	0
0502. ....	0	0	0
0503. ....	0	0	0
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Retroactive reinsurance gain/loss.....	6,836	(93,579)	(290,128)
1402. Profit and loss, miscellaneous.....	1,251	(1,448,530)	(568,379)
1403. Fines and penalties of regulatory authorities.....	(12,985)	(19,481)	(20,940)
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	(4,898)	(1,561,590)	(879,447)
3701. ....	0	0	0
3702. ....	0	0	0
3703. ....	0	0	0
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	535,434,696	508,790,592	1,048,990,069
2. Net investment income.....	54,409,775	52,991,765	111,954,063
3. Miscellaneous income.....	781,797	(761,150)	435,718
4. Total (Lines 1 through 3).....	590,626,268	561,021,207	1,161,379,850
5. Benefit and loss related payments.....	297,608,158	278,903,454	560,691,301
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions.....	229,511,122	221,253,633	407,051,933
8. Dividends paid to policyholders.....	823,041	802,611	1,852,602
9. Federal and foreign income taxes paid (recovered) net of \$.....290,557 tax on capital gains (losses).....	11,170,286	12,001,917	22,606,338
10. Total (Lines 5 through 9).....	539,112,607	512,961,615	992,202,174
11. Net cash from operations (Line 4 minus Line 10).....	51,513,661	48,059,592	169,177,676
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	168,137,364	248,130,658	431,425,505
12.2 Stocks.....	132,511	0	0
12.3 Mortgage loans.....	0	0	0
12.4 Real estate.....	0	0	0
12.5 Other invested assets.....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	0	0	0
12.7 Miscellaneous proceeds.....	34,463,499	12,459,755	10,454,674
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	202,733,373	260,590,413	441,880,179
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	228,860,732	408,071,055	600,815,835
13.2 Stocks.....	0	0	0
13.3 Mortgage loans.....	0	0	0
13.4 Real estate.....	0	0	0
13.5 Other invested assets.....	0	0	0
13.6 Miscellaneous applications.....	23,893,175	5,569,900	0
13.7 Total investments acquired (Lines 13.1 to 13.6).....	252,753,907	413,640,955	600,815,835
14. Net increase or (decrease) in contract loans and premium notes.....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(50,020,534)	(153,050,542)	(158,935,656)
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds.....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	0	0	0
16.5 Dividends to stockholders.....	0	0	98,500,000
16.6 Other cash provided (applied).....	39,951,075	9,394,903	(13,155,498)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	39,951,075	9,394,903	(111,655,498)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	41,444,202	(95,596,047)	(101,413,477)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	83,925,010	185,338,487	185,338,487
19.2 End of period (Line 18 plus Line 19.1).....	125,369,212	89,742,441	83,925,010
Note: Supplemental disclosures of cash flow information for non-cash transactions:			
20.0001 Exchange of bonds.....	7,171,460	5,755,859	7,616,809
20.0002 Dividend of stock to parent.....	107,065,698	0	0

**NOTES TO FINANCIAL STATEMENTS****Note 1 – Summary of Significant Accounting Policies and Going Concern**

## A. Accounting Practices:

United States Fidelity and Guaranty Company (the Company) prepares its statutory financial statements in conformity with the accounting practices prescribed or permitted by the State of Connecticut. The State of Connecticut requires that insurance companies domiciled in Connecticut prepare their statutory basis financial statements in accordance with the National Association of Insurance Commissioners (NAIC) *Accounting Practices and Procedures Manual*, subject to any deviations prescribed or permitted by the Connecticut Insurance Commissioner. The Company does not apply any statutory accounting practices that would be considered a permitted practice in its financial statements.

<u>Net Income</u>	<u>SSAP</u>	<u>F/S</u>	<u>F/S</u>	<u>June 30, 2019</u>	<u>December 31, 2018</u>
	<u>#</u>	<u>Page</u>	<u>Line #</u>		
1. United States Fidelity and Guaranty Company state basis	XXX	XXX	XXX	\$ 54,401,693	\$ 92,226,461
2. State Prescribed Practices that are an increase/(decrease) from NAIC SAP				-	-
3. State Permitted Practices that are an increase/(decrease) from NAIC SAP				-	-
4. NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ 54,401,693</u>	<u>\$ 92,226,461</u>
<u>Surplus</u>					
5. United States Fidelity and Guaranty Company state basis	XXX	XXX	XXX	\$ 928,895,037	\$ 984,206,451
6. State Prescribed Practices that are an increase/(decrease) from NAIC SAP				-	-
7. State Permitted Practices that are an increase/(decrease) from NAIC SAP				-	-
8. NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 928,895,037</u>	<u>\$ 984,206,451</u>

## B. Use of Estimates in the Preparation of the Financial Statements:

No significant change.

## C. Accounting Policy:

No significant change.

(2) Not applicable.

(6) For a discussion of the valuation basis and adjustment methodology for loan-backed securities and structured securities, see Note 5D.

## D. Going Concern:

Not applicable.

**Note 2 – Accounting Changes and Corrections of Errors**

No significant change.

**Note 3 – Business Combinations and Goodwill**

No significant change.

**Note 4 – Discontinued Operations**

No significant change.

**Note 5 – Investments**

## A. Mortgage Loans, including Mezzanine Real Estate Loans:

No significant change.

## B. Debt Restructuring:

No significant change.

## C. Reverse Mortgages:

No significant change.

## D. Loan-Backed Securities:

(1) The Company applies the retrospective method of revaluing loan-backed securities. The Company's loan-backed securities are revalued quarterly using the constant effective yield method which includes an adjustment for estimated principal prepayments, if any. The effective yield used to determine amortization for these securities is recalculated and adjusted periodically based upon actual historical and/or projected future cash flows. The Company changes from the retrospective to prospective method for valuing the securities when an other-than-temporary impairment has been recorded.

For collateralized mortgage obligations, asset-backed securities and pass-through certificates prepayment assumptions are adjusted periodically.

Fair value amounts disclosed are generally provided by the Securities Valuation Office (SVO) or, if not available from the SVO, by third party pricing services.

(2) The Company had no loan-backed or structured securities with other-than-temporary impairments recognized in 2019.

(3) Not applicable.

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**NOTES TO FINANCIAL STATEMENTS**


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(4) The gross unrealized investment losses and related fair value for impaired securities for which an other-than-temporary impairment has not been recognized as a realized loss were as follows:

a. The aggregate amount of unrealized losses:			
	1. Less than 12 Months	\$	29,624
	2. 12 Months or Longer	\$	4,779
b. The aggregate related fair value of securities with unrealized losses:			
	1. Less than 12 Months	\$	9,656,451
	2. 12 Months or Longer	\$	2,779,502

(5) The Company determines an other-than-temporary impairment by utilizing discounted cash flow modeling to determine the present value of the security and comparing the present value with the amortized cost of the security. If the amortized cost is greater than the present value of the expected cash flows, the difference is considered a credit loss and recognized in net realized capital gains (losses). The Company estimates the present value of the security by projecting future cash flows of the assets underlying the securitization, allocating the flows to the various tranches based on the structure of the securitization, and determining the present value of the cash flows using the effective yield of the security at the date of acquisition (or the most recent implied rate used to accrete the security if the implied rate has changed as a result of changes in expected cash flows). The Company incorporates levels of delinquencies, defaults and severities as well as credit attributes of the remaining assets in the securitization, along with other economic data, to arrive at its best estimate of the parameters applied to the assets underlying the securitization.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions:

No significant change.

(3)b. Not applicable.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing:

Not applicable.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing:

Not applicable.

H. Repurchase Agreements Transactions Accounted for as a Sale:

Not applicable.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale:

Not applicable.

J. Real Estate:

No significant change.

K. Low-Income Housing Tax Credits (LIHTC):

No significant change.

L. Restricted Assets:

No significant change.

M. Working Capital Finance Investments:

(1) No significant change.

(2) Not applicable.

(3) Not applicable.

N. Offsetting and Netting of Assets and Liabilities:

Not applicable.

O. Structured Notes:

No significant change.

P. 5GI Securities:

No significant change.

Q. Short Sales:

No significant change.

R. Prepayment Penalty and Acceleration Fees:

No significant change.

**Note 6 – Joint Ventures, Partnerships and Limited Liability Companies**

No significant change.

**Note 7 – Investment Income**

No significant change.

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## **NOTES TO FINANCIAL STATEMENTS**

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### **Note 8 – Derivative Instruments**

A – G. No significant change.

H. Not applicable.

### **Note 9 – Income Taxes**

No significant change.

### **Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

See Note 13 for information regarding a stock dividend of certain of the Company's subsidiaries.

### **Note 11 – Debt**

A. No significant change.

B. Not applicable.

### **Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

A – D. Defined Benefit Plans:

No significant change.

A(4). Not applicable.

E. Defined Contribution Plans:

No significant change.

F. Multiemployer Plans:

No significant change.

G. Consolidated/Holding Company Plans:

No significant change.

H. Postemployment Benefits and Compensated Absences:

No significant change.

I. Impact of Medicare Modernization Act on Postretirement Benefits:

No significant change.

### **Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**

On January 1, 2019, the Company made a stock dividend of all its common stock in its direct, wholly-owned insurance company subsidiaries, Fidelity and Guaranty Insurance Company (FGIC) and Fidelity and Guaranty Insurance Underwriters, Inc. (FGIU), to its parent, St. Paul Fire and Marine Insurance Company (Fire and Marine). As a result, FGIC and FGIU became direct, wholly-owned subsidiaries of the Fire and Marine.

### **Note 14 – Liabilities, Contingencies and Assessments**

No significant change.

### **Note 15 – Leases**

No significant change.

### **Note 16 – Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

No significant change.

### **Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

A. Transfers of Receivables Reported as Sales:

No significant change.

B. Transfer and Servicing of Financial Assets:

No significant change.

(2) Not applicable.

(4) Not applicable.

C. Wash Sales:

Not applicable.



## NOTES TO FINANCIAL STATEMENTS

### Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

### Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

### Note 20 – Fair Value Measurements

The Company's estimates of fair value for financial assets and financial liabilities are based on the framework established in the fair value accounting guidance. The framework is based on the inputs used in valuation, gives the highest priority to quoted prices in active markets and requires that observable inputs be used in the valuations when available. The disclosure of fair value estimates in the fair value accounting guidance hierarchy is based on whether the significant inputs into the valuation are observable. In determining the level of the hierarchy in which the estimate is disclosed, the highest priority is given to unadjusted quoted prices in active markets and the lowest priority to unobservable inputs that reflect the Company's significant market assumptions. The level in the fair value hierarchy within which the fair value measurement is reported is based on the lowest level input that is significant to the measurement in its entirety. The three levels of the hierarchy are as follows:

- Level 1 - Unadjusted quoted market prices for identical assets or liabilities in active markets that the Company has the ability to access.
- Level 2 - Quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; or valuations based on models where the significant inputs are observable (e.g., interest rates, yield curves, prepayment speeds, default rates, loss severities, etc.) or can be corroborated by observable market data.
- Level 3 - Valuations based on models where significant inputs are not observable. The unobservable inputs reflect the Company's own assumptions about the inputs that market participants would use.

A. (1) Securities measured and reported at fair value as of June 30, 2019:

Description	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
<b>Bonds</b>					
Industrial and miscellaneous	\$ -	\$ 6,804,820	\$ -	\$ -	\$ 6,804,820
<b>Total securities at fair value</b>	<b>\$ -</b>	<b>\$ 6,804,820</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 6,804,820</b>

At June 30, 2019, the Company also holds Level 3 industrial and miscellaneous bonds measured and reported at their fair value of zero.

(2) Securities measured and reported at fair value using significant unobservable inputs (Level 3):

During the quarter, industrial and miscellaneous bonds measured and reported at their fair value of zero transferred into Level 3 due to a change in pricing methodology.

(3) Level 2 and Level 3 valuation techniques:

The fair values of bonds are generally provided by the SVO or, if not available from the SVO, by third party pricing services.

(4) Derivative assets and liabilities:

Not applicable.

B. Not applicable.

C. The following tables provide the aggregate fair value and reported aggregate admitted asset value by type of financial instrument; and the hierarchy level in which the fair values fall:

(At June 30, 2019) Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Short-term bonds	\$ 127,994,979	\$ 127,994,979	\$ 4,507,885	\$ 123,487,094	\$ -	\$ -	\$ -
Long-term bonds	2,893,444,955	2,783,468,317	86,528,190	2,806,916,765	-	-	-

(At December 31, 2018) Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Short-term bonds	\$ 83,565,580	\$ 83,566,077	\$ 11,737,782	\$ 71,827,798	\$ -	\$ -	\$ -
Long-term bonds	2,744,706,515	2,727,635,009	50,674,987	2,694,031,528	-	-	-
Common stock	-	-	-	-	-	-	-

D. Not applicable.

E. Not applicable.

### Note 21 – Other Items

No significant change.

### Note 22 – Events Subsequent

The Company had no material subsequent events through August 8, 2019.

### Note 23 – Reinsurance

Effective January 1, 2019, the Company, FGIC, and The Travelers Indemnity Company (Indemnity) entered into a Novation Agreement substituting Indemnity in place of the Company as the party to the Reinsurance Agreement dated April 4, 1977, in which the Company reinsured 100% of policies issued by FGIC. The Company has been discharged and released from its obligations and Indemnity has assumed all rights, liabilities and obligations under the Reinsurance Agreement. There was no net gain or loss recognized by the Company or Indemnity as a result of this Novation Agreement.

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**NOTES TO FINANCIAL STATEMENTS**

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**Note 24 – Retrospectively Rated Contracts & Contracts Subject to Redetermination**

A – E. Retrospective Premiums:

No significant change.

F. Risk-Sharing Provisions of the Affordable Care Act (ACA):

Not applicable.

**Note 25 – Changes in Incurred Losses and Loss Adjustment Expenses**

In 2019, the prior year-end total loss and loss adjustment expense reserves developed favorably by \$8,764,000, resulting from better than expected loss and defense and cost containment (DCC) experience across multiple Schedule P lines, primarily workers' compensation, homeowners, special property and personal auto liability lines of business. This favorable development was partially offset by higher than expected loss experience in other liability (including a \$2.6 million increase to environmental reserves), commercial auto liability and commercial multiple peril lines.

The improvement in workers' compensation was driven primarily by better than expected loss experience across all accident years. Lower estimates for adjusting and other expenses also contributed to the workers' compensation improvement. The improvement in homeowners was driven primarily by better than expected loss experience for accident year 2018. Better than expected loss experience in special property was for recent accident years. The improvement in personal auto liability was driven primarily by better than expected loss experience for recent accident years but was partially offset by higher than expected adjusting and other costs. Additional favorable loss and DCC development was spread across multiple lines and accident years and not concentrated in any particular line or accident year. Higher than expected loss experience in other liability was for "Prior" accident years (including a \$2.6 million increase to environmental reserves), accident years 2013 to 2016 as well as higher than expected adjusting and other costs. Higher than expected loss experience in commercial auto liability and commercial multiple peril were primarily for recent accident years.

The remaining changes are generally the result of ongoing analyses of recent loss data and trends. A portion of the change from prior year effects relates to retrospectively rated policies which are subject to premium adjustments.

**Note 26 – Intercompany Pooling Arrangements**

No significant change.

**Note 27 – Structured Settlements**

No significant change.

**Note 28 – Health Care Receivables**

No significant change.

**Note 29 – Participating Policies**

No significant change.

**Note 30 – Premium Deficiency Reserves**

No significant change.

**Note 31 – High Deductibles**

No significant change.

**Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

No significant change.

**Note 33 – Asbestos/Environmental Reserves**

No significant change.

**Note 34 – Subscriber Savings Accounts**

No significant change.

**Note 35 – Multiple Peril Crop Insurance**

No significant change.

**Note 36 – Financial Guaranty Insurance**

A. No significant change.

B. Not applicable.

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.

- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 86312

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
	0	

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [X] N/A [ ]  
If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2014
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2014
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 04/26/2016

6.4 By what department or departments?  
Insurance Department of Connecticut

- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [X]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

## GENERAL INTERROGATORIES

### PART 1 - COMMON INTERROGATORIES

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

#### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

#### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]
- 11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]

14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	107,065,698	0
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	0	0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 107,065,698	\$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]  
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 35,753,221
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 35,753,221
- 16.3 Total payable for securities lending reported on the liability page: \$ 35,753,221

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JPMorgan Chase	270 Park Avenue, New York, NY 10017-2070
The Bank of New York Mellon	240 Greenwich Street, New York, NY 10286

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
N/A		

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
The Travelers Indemnity Company	A

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes [ ] No [X]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [ ] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
	The Travelers Indemnity Company		Not a registered investment advisor	DS

## **GENERAL INTERROGATORIES**

### **PART 1 - COMMON INTERROGATORIES**

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes  No
- 18.2 If no, list exceptions:
19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes  No
20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes  No

## GENERAL INTERROGATORIES (continued)

## PART 2 – PROPERTY &amp; CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [X] N/A [ ]  
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]  
If yes, attach an explanation.

- 3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]

- 3.2 If yes, give full and complete information thereto:

- 4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [X] No [ ]

- 4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
Other liability-occurrence	0.000	5.000%	0	0	6,323,675	6,323,675	0	0	38,632	38,632
Total	XXX	XXX	0	0	6,323,675	6,323,675	0	0	38,632	38,632

- 5.1 Operating Percentages:

5.1 A&H loss percent 0.000%

5.2 A&H cost containment percent 0.000%

5.3 A&H expense percent excluding cost containment expenses 0.000%

- 6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0

- 6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]

6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No [ ]

- 7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [ ] No [ ]

**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
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**NONE**

# UNITED STATES FIDELITY AND GUARANTY COMPANY

## SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1. Alabama.....AL.....L.....		7,759	14,364	921,508	636,861	27,054,213	26,397,401
2. Alaska.....AK.....L.....		(268,339)	(312,997)	(877)	(193)	1,270,695	1,458,672
3. Arizona.....AZ.....L.....		(485,613)	(193,611)	192,871	264,327	8,299,296	8,288,941
4. Arkansas.....AR.....L.....		613	313	550,231	231,923	7,785,354	9,130,770
5. California.....CA.....L.....		1,906	2,180	3,946,656	5,520,592	127,286,798	141,711,044
6. Colorado.....CO.....L.....		4,949	6,604	25,859	47,297	4,460,412	4,180,151
7. Connecticut.....CT.....L.....		6,240	6,240	188,551	(770,756)	6,991,460	6,548,064
8. Delaware.....DE.....L.....		130	0	56,115	10,331	1,802,134	2,223,212
9. District of Columbia.....DC.....L.....		16,613	14,700	34,095	(107)	367,599	499,137
10. Florida.....FL.....L.....		2,935	885	2,044,410	1,862,083	32,665,959	39,121,317
11. Georgia.....GA.....L.....		5,703	8,686	216,678	113,203	11,568,305	10,293,933
12. Hawaii.....HI.....L.....		(1,928)	(125)	(150)	85	755,106	733,252
13. Idaho.....ID.....L.....		0	175	2,454	52,283	1,139,899	1,138,807
14. Illinois.....IL.....L.....		5,293	4,701	(509,986)	363,087	16,443,403	16,466,957
15. Indiana.....IN.....L.....		1,630	1,830	207,168	303,524	9,015,573	8,561,281
16. Iowa.....IA.....L.....		100	100	99,941	236,990	8,220,344	7,840,093
17. Kansas.....KS.....L.....		3,120	3,120	89,350	739,351	5,926,064	5,102,669
18. Kentucky.....KY.....L.....		1,846	4,396	102,337	134,024	13,751,208	14,297,674
19. Louisiana.....LA.....L.....		0	(36,343)	909,370	1,798,824	11,376,102	13,105,685
20. Maine.....ME.....L.....		200	200	43,112	401,498	3,781,680	3,688,984
21. Maryland.....MD.....L.....		3,952	3,716	382,594	221,295	5,101,666	5,590,046
22. Massachusetts.....MA.....L.....		(6,874)	(15,852)	211,882	290,191	14,998,340	14,994,442
23. Michigan.....MI.....L.....		600	800	2,086,123	2,019,438	110,933,024	121,265,556
24. Minnesota.....MN.....L.....		0	0	426,405	661,350	8,109,597	8,669,217
25. Mississippi.....MS.....L.....		0	0	330,464	878,850	25,561,057	21,761,302
26. Missouri.....MO.....L.....		360	308	1,721,861	1,577,276	15,092,555	15,386,593
27. Montana.....MT.....L.....		1,200	1,525	58,243	70,822	2,775,991	2,415,332
28. Nebraska.....NE.....L.....		338,316	100	7,043	23,886	3,465,616	3,664,946
29. Nevada.....NV.....L.....		750	750	3,060	142,791	2,951,333	2,948,953
30. New Hampshire.....NH.....L.....		100	100	33,776	1,522,901	1,134,282	1,354,577
31. New Jersey.....NJ.....L.....		1,618	1,618	1,231,654	779,758	39,812,227	50,050,397
32. New Mexico.....NM.....L.....		1,039	3,559	635,459	(340,551)	11,445,506	11,641,945
33. New York.....NY.....L.....		28,767	28,777	941,991	159,391	26,189,071	27,242,134
34. North Carolina.....NC.....L.....		7,437	7,462	3,820,413	2,451,110	11,549,184	11,884,609
35. North Dakota.....ND.....L.....		1,100	800	9,014	15,485	339,196	460,350
36. Ohio.....OH.....L.....		5,312	6,200	108,160	167,359	11,123,206	10,717,249
37. Oklahoma.....OK.....L.....		0	0	234,849	618,106	14,735,619	14,605,291
38. Oregon.....OR.....L.....		(68,472)	(674,820)	14,197	74,717	6,007,391	5,551,688
39. Pennsylvania.....PA.....L.....		12,518	13,143	731,059	1,677,147	35,220,248	41,773,599
40. Rhode Island.....RI.....L.....		0	0	17,989	32,097	2,554,184	2,471,315
41. South Carolina.....SC.....L.....		3,257	1,498	707,685	178,781	10,287,930	10,383,499
42. South Dakota.....SD.....L.....		0	0	26,453	38,340	1,133,417	1,144,664
43. Tennessee.....TN.....L.....		4,050	3,425	521,244	241,950	17,874,067	17,465,887
44. Texas.....TX.....L.....		300	575	340,044	830,126	31,614,619	32,197,135
45. Utah.....UT.....L.....		3,702	100	102,209	89,531	2,907,311	2,672,769
46. Vermont.....VT.....L.....		0	0	137,478	67,026	2,705,245	3,006,126
47. Virginia.....VA.....L.....		(228,024)	(161,239)	607,774	647,704	17,565,454	18,790,344
48. Washington.....WA.....L.....		100	100	18,874	22,518	5,054,970	3,431,363
49. West Virginia.....WV.....L.....		6,123	8,803	443,718	365,856	2,641,639	2,183,848
50. Wisconsin.....WI.....L.....		(3,204,103)	(3,095,382)	1,519,065	975,881	18,129,891	18,567,825
51. Wyoming.....WY.....L.....		3,450	4,000	(525)	22,050	417,520	339,959
52. American Samoa.....AS.....N.....		0	0	0	0	0	0
53. Guam.....GU.....N.....		0	0	0	0	0	0
54. Puerto Rico.....PR.....L.....		0	0	(303)	708	12,125	5,076
55. US Virgin Islands.....VI.....L.....		0	0	0	0	1,524	1,924
56. Northern Mariana Islands.....MP.....N.....		0	0	0	0	0	0
57. Canada.....CAN.....N.....		0	0	0	0	0	0
58. Aggregate Other Alien.....OT.....XXX.....		0	0	0	0	12,160	15,923
59. Totals.....	XXX	(3,780,263)	(4,334,515)	26,549,647	28,469,116	759,418,767	805,443,928

**DETAILS OF WRITE-INS**

58001. ZZZ Other Alien.....	XXX	0	0	0	0	12,160	15,920
58002. GBR United Kingdom.....	XXX	0	0	0	0	0	3
58003.....	XXX	0	0	0	0	0	0
58998. Summary of remaining write-ins for Line 58 from overflow page....	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....	XXX	0	0	0	0	12,160	15,923

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	53	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI).....	0	Q - Qualified - Qualified or accredited reinsurer.....	0
D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....	0	N - None of the above - Not allowed to write business in the state.....	4



**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 - ORGANIZATIONAL CHART**

The Travelers Companies, Inc.	Minnesota	41-0518860	... .. Windamere III, LLC (99%)	Minnesota	41-2007089
... St. Paul Fire and Marine Insurance Company (24767) *	Connecticut	41-0406690	... Camperdown Corporation	Delaware	41-1762781
... .. St. Paul Mercury Insurance Company (24791) *	Connecticut	41-0881659	... SPC Insurance Agency, Inc.	Minnesota	41-1888760
... .. St. Paul Guardian Insurance Company (24775) *	Connecticut	41-0963301	... Aprilgrange Limited	United Kingdom	
... .. St. Paul Surplus Lines Insurance Company (30481) *	Delaware	41-1230819	... F&G UK Underwriters Limited	United Kingdom	
... .. The Travelers Casualty Company (41769) *	Connecticut	41-1435765	... Travelers Underwriting Agency Limited	United Kingdom	
... .. Travelers Constitution State Insurance Company (41750) *	Connecticut	41-1435766	... Travelers Syndicate Management Limited *	United Kingdom	
... .. Northbrook Holdings, Inc.	Delaware	51-0375653	... Travelers Corporate Trustee Limited	United Kingdom	
... .. Discover Property & Casualty Insurance Company (36463) *	Connecticut	36-2999370	... Travelers London Limited	United Kingdom	
... .. St. Paul Protective Insurance Company (19224) *	Connecticut	36-2542404	... TCI Global Services, Inc.	Delaware	52-1965525
... .. Discover Specialty Insurance Company (10213) *	Connecticut	52-1925132	... Travelers Management Limited	United Kingdom	
... .. United States Fidelity and Guaranty Company (25887) *	Connecticut	52-0515280	... Travelers Insurance Company Limited *	United Kingdom	AA-1121375
... .. Laurel Village Fidelity Realty, Inc.	Maryland	52-1551225	... .. Travelers Insurance Designated Activity Company *	Ireland	
... .. Laurel Village Joint Venture Partnership (50%)	Minnesota	41-1616702	... Simply Business Holdings Ltd	United Kingdom	
... .. Laurel Village I Limited Partnership (99%)	Minnesota	41-1542260	... Simply Business Group Ltd	United Kingdom	
... .. Laurel Village II Limited Partnership (99%)	Minnesota	41-1542261	... Xbridge Holdings Limited	United Kingdom	
... .. Laurel Village III Limited Partnership (99%)	Minnesota	41-1542263	... Xbridge Acquisitions Limited	United Kingdom	
... .. Laurel Village IV Limited Partnership (99%)	Minnesota	41-1542264	... Simply Business Holdings, Inc.	Delaware	
... .. Laurel Village Tower A Limited Partnership (99%)	Minnesota	41-1542266	... .. Simply Business, Inc.	Massachusetts	
... .. Laurel Village Tower B Limited Partnership (99%)	Minnesota	41-1593312	... Xbridge Limited	United Kingdom	
... .. Laurel Village Tower C Limited Partnership (99%)	Minnesota	41-1542267	... 10762962 Canada Inc.	Canada	
... .. Laurel Village Swinford Limited Partnership (99%)	Minnesota	41-1542255	... .. Zensurance Inc. (74%)	Canada	
... .. USF&G Retail Associates GP, Inc.	Maryland	52-1704255	... .. Zensurance Brokers Inc.	Canada	
... .. USF&G/Fidelity Retail Associates Limited Partnership (1%)	Maryland	52-1704256	... Travelers Property Casualty Corp.	Connecticut	06-1008174
... .. USF&G/Fidelity Retail Associates Limited Partnership (83.95%)	Maryland	52-1704256	... .. Travelers Insurance Group Holdings Inc.	Delaware	06-1445591
... .. BMR Sports Properties, Inc.	Maryland	52-1852190	... .. The Standard Fire Insurance Company (19070) *	Connecticut	06-6033509
... .. Black Mountain Ranch Limited Partnership (1%)	Maryland	52-1573778	... .. Standard Fire Properties, LLC	Delaware	06-6033509
... .. Fidelity and Guaranty Insurance Underwriters, Inc. (25879) *	Wisconsin	52-0616768	... .. Bayhill Restaurant II Associates (50%)	California	94-3097171
... .. Fidelity and Guaranty Insurance Company (35386) *	Iowa	42-1091525	... .. Standard Fire UK Investments, LLC	Delaware	06-6033509
... .. Black Mountain Ranch Limited Partnership (85%)	Maryland	52-1573778	... .. The Automobile Insurance Company of Hartford, Connecticut (19062) *	Connecticut	06-0848755
... .. MMI Capital Trust I	Delaware	52-2073764	... .. Auto Hartford Investments, LLC	Delaware	06-0848755
... .. Promenade Partners, L.L.C.	Delaware	41-0406690	... .. Travelers Personal Security Insurance Company (36145) *	Connecticut	06-1286264
... .. USF&G Capital I	Delaware	52-1953822	... .. Travelers Property Casualty Insurance Company (36161) *	Connecticut	06-1286274
... .. USF&G Capital III	Delaware	52-2044075	... .. Travelers Personal Insurance Company (38130) *	Connecticut	36-3703200
... .. 350 Market Street, LLC	Delaware	38-4046010	... .. Travelers Texas MGA, Inc.	Texas	27-4469564
... .. Travelers Special Services Limited	United Kingdom		... .. Oregon Evergreen Investor I, LLC	Delaware	06-6033509
... .. Yonkers Financing Corp.	Delaware	20-3033027	... .. Travelers (Bermuda) Limited *	Bermuda	AA-3194119
... .. English Turn Fidelity Realty, Inc.	Minnesota	52-1466734	... .. Travelers Lloyds Management Company	Texas	20-4312440
... .. English Turn LLC (99%)	Minnesota	59-2635727	... .. TPC Investments Inc.	Connecticut	06-1534005
... .. Fidelity English Turn Club LLC (99%)	Minnesota	59-2709441	... .. Travelers Casualty and Surety Company (19038) *	Connecticut	06-6033504
... .. English Turn LLC (1%)	Minnesota	59-2635727	... .. Farmington Casualty Company (41483) *	Connecticut	06-1067463
... .. English Turn Realty Management, Inc.	Minnesota	52-1715225	... .. Travelers MGA, Inc.	Texas	75-2676034
... .. Fidelity English Turn Club LLC (1%)	Minnesota	59-2709441	... .. 8527512 Canada Inc. (77.52%)	Canada	
... .. 8527512 Canada Inc. (22.48%)	Canada		... .. The Dominion of Canada General Insurance Company *	Canada	AA-1560270
... .. The Dominion of Canada General Insurance Company *	Canada	AA-1560270	... .. Travelers Insurance Company of Canada *	Canada	AA-1560039
... .. Fog City Fund, LLC (99%)	Minnesota	41-1985480	... .. Travelers Casualty and Surety Company of America (31194) *	Connecticut	06-0907370
... .. Symmetry Growth Capital II, LLC	Minnesota	76-0753165	... .. Travelers Global, Inc.	Delaware	47-2215437
... .. Upper Lake Growth Capital, LLC (99%)	Minnesota	41-1927945	... .. Travelers Brazil Holding LLC	Delaware	47-2215437
... .. Vesbridge Partners, LP (85%)	Minnesota	20-1222630	... .. Travelers Brazil Acquisition LLC	Delaware	47-2215437
... .. Windamere II, LLC (99%)	Minnesota	41-1963936	... .. Junto Holding Brasil S.A. (49.5%)	Brazil	

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*Notes:*

\* Denotes affiliated insurer

Unless otherwise stated, subsidiaries listed above are 100% owned by respective parent

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**

... .. Junto Resseguros S.A. *	Brazil	AA-2230021	... .. The Travelers Home and Marine Insurance Company (27998) *	Connecticut	35-1838079
... .. Junto Controle de Riscos Ltda. (0.01%)	Brazil		... .. The Travelers Lloyds Insurance Company (41262) *	Texas	76-0002592
... .. Junto Seguros S.A. *	Brazil	AA-2230002	... .. Travelers Marine, LLC	Delaware	06-0566050
... .. Junto Controle de Riscos Ltda. (99.99%)	Brazil				
... .. Junto Holding Latam S.A. (49.5%)	Brazil				
... .. JMalucelli Travelers Seguros S.A. (51%) *	Colombia	AA-2330036			
... .. Travelers Participações em Seguros Brasil S.A.	Brazil				
... .. Travelers Seguros Brasil S.A. *	Brazil	AA-2230022			
... .. Travelers Casualty Insurance Company of America (19046) *	Connecticut	06-0876835			
... .. Travelers Casualty Company of Connecticut (36170) *	Connecticut	06-1286266			
... .. Travelers Casualty UK Investments LLC	Delaware	06-6033504			
... .. Travelers Commercial Insurance Company (36137) *	Connecticut	06-1286268			
... .. Travelers Excess and Surplus Lines Company (29696) *	Connecticut	06-1203698			
... .. Travelers Lloyds of Texas Insurance Company (41564) *	Texas	75-1732040			
... .. The Family Business Institute LLC	Delaware	06-6033504			
... .. IHP Capital Partners Fund VIII, L.P. (97%)	Delaware	82-0925474			
... .. The Travelers Indemnity Company (25658) *	Connecticut	06-0566050			
... .. Arch Street North LLC	Delaware	06-0566050			
... .. The Charter Oak Fire Insurance Company (25615) *	Connecticut	06-0291290			
... .. Travelers Real Estate, LLC	Delaware	06-0566050			
... .. First Floridian Auto and Home Insurance Company (10647) *	Florida	59-3372141			
... .. Gulf Underwriters Insurance Company (42811) *	Connecticut	56-1371361			
... .. Select Insurance Company (22233) *	Texas	75-6013697			
... .. Travelers Casualty and Surety Company of Europe Limited	United Kingdom	AA-1120023			
... .. Crystal Brook, LLC	Delaware	06-0566050			
... .. Durham Holding, LLC	Delaware	06-0566050			
... .. Sutton Holdco, LLC	Delaware	06-0566050			
... .. Cadet Limited, LLC	Delaware	06-0566050			
... .. Arrowhead Company, LLC	Delaware	06-0566050			
... .. Eastern Asset, LLC	Delaware	06-0566050			
... .. American Equity Insurance Company (43117) *	Arizona	86-0703220			
... .. American Equity Specialty Insurance Company (10819) *	Connecticut	86-0868106			
... .. Northland Insurance Company (24015) *	Connecticut	41-6009967			
... .. Northfield Insurance Company (27987) *	Iowa	41-0983992			
... .. Northland Casualty Company (24031) *	Connecticut	94-6051964			
... .. Travelers Indemnity U.K. Investments LLC	Connecticut	06-0566050			
... .. TPC U.K. Investments LLC	Delaware	06-0566050			
... .. The Phoenix Insurance Company (25623) *	Connecticut	06-0303275			
... .. The Travelers Indemnity Company of America (25666) *	Connecticut	58-6020487			
... .. The Travelers Indemnity Company of Connecticut (25682) *	Connecticut	06-0336212			
... .. Travelers Property Casualty Company of America (25674) *	Connecticut	36-2719165			
... .. American Marine Management Services, Inc. (47.5%)	New York	13-2743602			
... .. Constitution State Services LLC	Delaware	06-1501229			
... .. Phoenix UK Investments LLC	Delaware	06-0303275			
... .. TravCo Insurance Company (28188) *	Connecticut	35-1838077			
... .. TINDY Foreign, Inc	Delaware	20-4403403			
... .. Travelers Commercial Casualty Company (40282) *	Connecticut	95-3634110			
... .. Travelers Distribution Alliance, Inc.	Delaware	62-1657094			
... .. Travelers TLD, LLC	Delaware	06-0566050			
... .. Tiercel, LLC	Delaware	06-0566050			
... .. Redstart, LLC	Delaware	06-0566050			

*Notes:*

\* Denotes affiliated insurer

Unless otherwise stated, subsidiaries listed above are 100% owned by respective parent

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
3548	The Travelers Companies, Inc...	00000.....	41-0518860..	.....	86312	NYSE.....	The Travelers Companies, Inc.....	MN.....	UIP.....	.....	.....	0.000	.....	N.....	.....
3548	The Travelers Companies, Inc...	24767.....	41-0406690..	.....	.....	.....	St. Paul Fire and Marine Insurance Company.....	CT.....	UDP.....	The Travelers Companies, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	24791.....	41-0881659..	.....	.....	.....	St. Paul Mercury Insurance Company.....	CT.....	IA.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	24775.....	41-0963301..	.....	.....	.....	St. Paul Guardian Insurance Company.....	CT.....	IA.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	30481.....	41-1230819..	.....	.....	.....	St. Paul Surplus Lines Insurance Company.....	DE.....	IA.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	41769.....	41-1435765..	.....	.....	.....	The Travelers Casualty Company.....	CT.....	IA.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	41750.....	41-1435766..	.....	.....	.....	Travelers Constitution State Insurance Company.....	CT.....	IA.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	51-0375653..	.....	.....	.....	Northbrook Holdings, Inc.....	DE.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	Y.....	.....
3548	The Travelers Companies, Inc...	36463.....	36-2999370..	.....	.....	.....	Discover Property & Casualty Insurance Company.....	CT.....	IA.....	Northbrook Holdings, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	19224.....	36-2542404..	.....	.....	.....	St. Paul Protective Insurance Company.....	CT.....	IA.....	Northbrook Holdings, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	10213.....	52-1925132..	.....	.....	.....	Discover Specialty Insurance Company.....	CT.....	IA.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	25887.....	52-0515280..	.....	.....	.....	United States Fidelity and Guaranty Company.....	CT.....	RE.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	52-1551225..	.....	.....	.....	Laurel Village Fidelity Realty, Inc.....	MD.....	NIA.....	United States Fidelity and Guaranty Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	Y.....	.....
3548	The Travelers Companies, Inc...	00000.....	41-1616702..	.....	.....	.....	Laurel Village Joint Venture Partnership.....	MN.....	NIA.....	Laurel Village Fidelity Realty, Inc.....	O/M.....	50.000	The Travelers Companies, Inc.....	N.....	0100.
3548	The Travelers Companies, Inc...	00000.....	41-1542260..	.....	.....	.....	Laurel Village I Limited Partnership.....	MN.....	NIA.....	Laurel Village Joint Venture Partnership.....	O/M.....	99.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	41-1542261..	.....	.....	.....	Laurel Village II Limited Partnership.....	MN.....	NIA.....	Laurel Village Joint Venture Partnership.....	O/M.....	99.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	41-1542263..	.....	.....	.....	Laurel Village III Limited Partnership.....	MN.....	NIA.....	Laurel Village Joint Venture Partnership.....	O/M.....	99.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	41-1542264..	.....	.....	.....	Laurel Village IV Limited Partnership.....	MN.....	NIA.....	Laurel Village Joint Venture Partnership.....	O/M.....	99.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	41-1542266..	.....	.....	.....	Laurel Village Tower A Limited Partnership.....	MN.....	NIA.....	Laurel Village Joint Venture Partnership.....	O/M.....	99.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	41-1593312..	.....	.....	.....	Laurel Village Tower B Limited Partnership.....	MN.....	NIA.....	Laurel Village Joint Venture Partnership.....	O/M.....	99.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	41-1542267..	.....	.....	.....	Laurel Village Tower C Limited Partnership.....	MN.....	NIA.....	Laurel Village Joint Venture Partnership.....	O/M.....	99.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	41-1542255..	.....	.....	.....	Laurel Village Swinford Limited Partnership.....	MN.....	NIA.....	Laurel Village Joint Venture Partnership.....	O/M.....	99.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	52-1704255..	.....	.....	.....	USF&G Retail Associates GP, Inc.....	MD.....	NIA.....	United States Fidelity and Guaranty Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	52-1704256..	.....	.....	.....	USF&G/Fidelity Retail Associates Limited Partnership.....	MD.....	NIA.....	USF&G Retail Associates GP, Inc.....	O/M.....	1.000	The Travelers Companies, Inc.....	N.....	0101.
3548	The Travelers Companies, Inc...	00000.....	52-1704256..	.....	.....	.....	USF&G/Fidelity Retail Associates Limited Partnership.....	MD.....	NIA.....	United States Fidelity and Guaranty Company.....	O.....	83.950	The Travelers Companies, Inc.....	N.....	0101.
3548	The Travelers Companies, Inc...	00000.....	52-1852190..	.....	.....	.....	BMR Sports Properties, Inc.....	MD.....	NIA.....	United States Fidelity and Guaranty Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	52-1573778..	.....	.....	.....	Black Mountain Ranch Limited Partnership.....	MD.....	NIA.....	BMR Sports Properties, Inc.....	O/M.....	1.000	The Travelers Companies, Inc.....	N.....	0102.
3548	The Travelers Companies, Inc...	25879.....	52-0616768..	.....	.....	.....	Fidelity and Guaranty Insurance Underwriters, Inc.....	WI.....	IA.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	35386.....	42-1091525..	.....	.....	.....	Fidelity and Guaranty Insurance Company.....	IA.....	IA.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	52-1573778..	.....	.....	.....	Black Mountain Ranch Limited Partnership.....	MD.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O.....	85.000	The Travelers Companies, Inc.....	N.....	0102.
3548	The Travelers Companies, Inc...	00000.....	52-2073764..	.....	.....	.....	MMI Capital Trust I.....	DE.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O/M.....	100.000	The Travelers Companies, Inc.....	Y.....	.....
3548	The Travelers Companies, Inc...	00000.....	41-0406690..	.....	.....	.....	Promenade Partners, L.L.C.....	DE.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	52-1953822..	.....	.....	.....	USF&G Capital I.....	DE.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O/M.....	100.000	The Travelers Companies, Inc.....	Y.....	.....
3548	The Travelers Companies, Inc...	00000.....	52-2044075..	.....	.....	.....	USF&G Capital III.....	DE.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O/M.....	100.000	The Travelers Companies, Inc.....	Y.....	.....
3548	The Travelers Companies, Inc...	00000.....	38-4046010..	.....	.....	.....	350 Market Street, LLC.....	DE.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O/M.....	100.000	The Travelers Companies, Inc.....	N.....	.....
3548	The Travelers Companies, Inc...	00000.....	.....	.....	.....	.....	Travelers Special Services Limited.....	GBR.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O/B.....	100.000	The Travelers Companies, Inc.....	Y.....	.....

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## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
3548	The Travelers Companies, Inc...	00000	20-3033027				Yonkers Financing Corp.....	DE.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	Y.....	
3548	The Travelers Companies, Inc...	00000	52-1466734				English Turn Fidelity Realty, Inc.....	MN.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	Y.....	
3548	The Travelers Companies, Inc...	00000	59-2635727				English Turn LLC.....	MN.....	NIA.....	English Turn Fidelity Realty, Inc.....	O/M.....	99.000	The Travelers Companies, Inc.....	N.....	0103.
3548	The Travelers Companies, Inc...	00000	59-2709441				Fidelity English Turn Club LLC.....	MN.....	NIA.....	English Turn Fidelity Realty, Inc.....	O/M.....	99.000	The Travelers Companies, Inc.....	N.....	0104.
3548	The Travelers Companies, Inc...	00000	59-2635727				English Turn LLC.....	MN.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O.....	1.000	The Travelers Companies, Inc.....	N.....	0103.
3548	The Travelers Companies, Inc...	00000	52-1715225				English Turn Realty Management, Inc.....	MN.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	Y.....	
3548	The Travelers Companies, Inc...	00000	59-2709441				Fidelity English Turn Club LLC.....	MN.....	NIA.....	English Turn Realty Management, Inc.....	O/B/M.....	1.000	The Travelers Companies, Inc.....	N.....	0104.
3548	The Travelers Companies, Inc...	00000					8527512 Canada Inc.....	CAN.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O/B/M.....	22.480	The Travelers Companies, Inc.....	Y.....	
3548	The Travelers Companies, Inc...	00000	AA-1560270				The Dominion of Canada General Insurance Company.....	CAN.....	IA.....	8527512 Canada Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000	41-1985480				Fog City Fund, LLC.....	MN.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O.....	99.000	The Travelers Companies, Inc.....	N.....	0108.
3548	The Travelers Companies, Inc...	00000	76-0753165				Symmetry Growth Capital II, LLC.....	MN.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O.....	100.000	The Travelers Companies, Inc.....	N.....	0108.
3548	The Travelers Companies, Inc...	00000	41-1927945				Upper Lake Growth Capital, LLC.....	MN.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O.....	99.000	The Travelers Companies, Inc.....	N.....	0108.
3548	The Travelers Companies, Inc...	00000	20-1222630				Vesbridge Partners, LP.....	MN.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O.....	85.000	The Travelers Companies, Inc.....	N.....	0108.
3548	The Travelers Companies, Inc...	00000	41-1963936				Windamere II, LLC.....	MN.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O.....	99.000	The Travelers Companies, Inc.....	N.....	0108.
3548	The Travelers Companies, Inc...	00000	41-2007089				Windamere III, LLC.....	MN.....	NIA.....	St. Paul Fire and Marine Insurance Company.....	O.....	99.000	The Travelers Companies, Inc.....	N.....	0108.
3548	The Travelers Companies, Inc...	00000	41-1762781				Camperdown Corporation.....	DE.....	NIA.....	The Travelers Companies, Inc.....	O/B.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000	41-1888760				SPC Insurance Agency, Inc.....	MN.....	NIA.....	The Travelers Companies, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Aprilgrange Limited.....	GBR.....	NIA.....	The Travelers Companies, Inc.....	O/B.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					F&G UK Underwriters Limited.....	GBR.....	NIA.....	The Travelers Companies, Inc.....	O/B.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Travelers Underwriting Agency Limited.....	GBR.....	NIA.....	The Travelers Companies, Inc.....	O/B.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Travelers Syndicate Management Limited.....	GBR.....	IA.....	The Travelers Companies, Inc.....	O/B.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Travelers Corporate Trustee Limited.....	GBR.....	NIA.....	The Travelers Companies, Inc.....	O/B.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Travelers London Limited.....	GBR.....	NIA.....	The Travelers Companies, Inc.....	O/B.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000	52-1965525				TCI Global Services, Inc.....	DE.....	NIA.....	The Travelers Companies, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Travelers Management Limited.....	GBR.....	NIA.....	The Travelers Companies, Inc.....	O/B.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000	AA-1121375				Travelers Insurance Company Limited.....	GBR.....	IA.....	The Travelers Companies, Inc.....	O/B.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Travelers Insurance Designated Activity Company.....	IRL.....	IA.....	Travelers Insurance Company Limited.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Simply Business Holdings Ltd.....	GBR.....	NIA.....	The Travelers Companies, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Simply Business Group Ltd.....	GBR.....	NIA.....	The Travelers Companies, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Xbridge Holdings Limited.....	GBR.....	NIA.....	The Travelers Companies, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Xbridge Acquisitions Limited.....	GBR.....	NIA.....	The Travelers Companies, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Simply Business Holdings, Inc.....	DE.....	NIA.....	The Travelers Companies, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Simply Business, Inc.....	MA.....	NIA.....	Simply Business Holdings, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Xbridge Limited.....	GBR.....	NIA.....	The Travelers Companies, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					10762962 Canada Inc.....	CAN.....	NIA.....	The Travelers Companies, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000					Zensurance Inc.....	CAN.....	NIA.....	10762962 Canada Inc.....	O/B.....	74.000	The Travelers Companies, Inc.....	N.....	

Q12.1

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
3548	The Travelers Companies, Inc...	00000.....					Zensurance Brokers Inc.....	CAN.....	NIA.....	Zensurance Inc.....	O.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-1008174..				Travelers Property Casualty Corp.....	CT.....	NIA.....	The Travelers Companies, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-1445591..				Travelers Insurance Group Holdings Inc.....	DE.....	NIA.....	Travelers Property Casualty Corp.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	19070.....	06-6033509..				The Standard Fire Insurance Company.....	CT.....	IA.....	Travelers Insurance Group Holdings Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-6033509..				Standard Fire Properties, LLC.....	DE.....	NIA.....	The Standard Fire Insurance Company.....	O/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	94-3097171..				Bayhill Restaurant II Associates.....	CA.....	NIA.....	Standard Fire Properties, LLC.....	O.....	50.000	The Travelers Companies, Inc.....	N.....	0105.
3548	The Travelers Companies, Inc...	00000.....	06-6033509..				Standard Fire UK Investments, LLC.....	DE.....	NIA.....	The Standard Fire Insurance Company.....	O/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	19062.....	06-0848755..				The Automobile Insurance Company of Hartford, Connecticut.....	CT.....	IA.....	The Standard Fire Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-0848755..				Auto Hartford Investments, LLC.....	DE.....	NIA.....	The Automobile Insurance Company of Hartford, Connecticut	O/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	36145.....	06-1286264..				Travelers Personal Security Insurance Company.....	CT.....	IA.....	The Standard Fire Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	36161.....	06-1286274..				Travelers Property Casualty Insurance Company.....	CT.....	IA.....	The Standard Fire Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	38130.....	36-3703200..				Travelers Personal Insurance Company.....	CT.....	IA.....	The Standard Fire Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	27-4469564..				Travelers Texas MGA, Inc.....	TX.....	NIA.....	The Standard Fire Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	Y.....	
3548	The Travelers Companies, Inc...	00000.....	06-6033509..				Oregon Evergreen Investor I, LLC.....	DE.....	NIA.....	The Standard Fire Insurance Company.....	O/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	AA-3194119..				Travelers (Bermuda) Limited.....	BMU.....	IA.....	Travelers Insurance Group Holdings, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	20-4312440..				Travelers Lloyds Management Company.....	TX.....	NIA.....	Travelers Insurance Group Holdings, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-1534005..				TPC Investments Inc.....	CT.....	NIA.....	Travelers Insurance Group Holdings, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	19038.....	06-6033504..				Travelers Casualty and Surety Company.....	CT.....	IA.....	Travelers Insurance Group Holdings, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	41483.....	06-1067463..				Farmington Casualty Company.....	CT.....	IA.....	Travelers Casualty and Surety Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	75-2676034..				Travelers MGA, Inc.....	TX.....	NIA.....	Farmington Casualty Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	Y.....	
3548	The Travelers Companies, Inc...	00000.....					8527512 Canada Inc.....	CAN.....	NIA.....	Travelers Casualty and Surety Company.....	O/B/M.....	77.520	The Travelers Companies, Inc.....	Y.....	
3548	The Travelers Companies, Inc...	00000.....	AA-1560270..				The Dominion of Canada General Insurance Company.....	CAN.....	IA.....	8527512 Canada Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	AA-1560039..				Travelers Insurance Company of Canada.....	CAN.....	IA.....	Travelers Casualty and Surety Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	Y.....	
3548	The Travelers Companies, Inc...	31194.....	06-0907370..				Travelers Casualty and Surety Company of America.....	CT.....	IA.....	Travelers Casualty and Surety Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	47-2215437..				Travelers Global, Inc.....	DE.....	NIA.....	Travelers Casualty and Surety Company of America..	O/B/M.....	100.000	The Travelers Companies, Inc.....	Y.....	
3548	The Travelers Companies, Inc...	00000.....	47-2215437..				Travelers Brazil Holding LLC.....	DE.....	NIA.....	Travelers Global, Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	47-2215437..				Travelers Brazil Acquisition LLC.....	DE.....	NIA.....	Travelers Brazil Holding LLC.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....					Junto Holding Brasil S.A.....	BRA.....	NIA.....	Travelers Brazil Acquisition LLC.....	O/B.....	49.500	The Travelers Companies, Inc.....	N.....	0106.
3548	The Travelers Companies, Inc...	00000.....	AA-2230021..				Junto Resseguros S.A.....	BRA.....	IA.....	Junto Holding Brasil S.A.....	O/B.....	100.000	The Travelers Companies, Inc.....	N.....	0106.
3548	The Travelers Companies, Inc...	00000.....					Junto Controle de Riscos Ltda.....	BRA.....	NIA.....	Junto Resseguros S.A.....	O/B.....	0.010	The Travelers Companies, Inc.....	N.....	0106.
3548	The Travelers Companies, Inc...	00000.....	AA-2230002..				Junto Seguros S.A.....	BRA.....	IA.....	Junto Holding Brasil S.A.....	O/B.....	100.000	The Travelers Companies, Inc.....	N.....	0106.
3548	The Travelers Companies, Inc...	00000.....					Junto Controle de Riscos Ltda.....	BRA.....	NIA.....	Junto Seguros S.A.....	O/B.....	99.990	The Travelers Companies, Inc.....	N.....	0106.
3548	The Travelers Companies, Inc...	00000.....					Junto Holding Latam S.A.....	BRA.....	NIA.....	Travelers Brazil Acquisition LLC.....	O/B.....	49.500	The Travelers Companies, Inc.....	N.....	0106.
3548	The Travelers Companies, Inc...	00000.....	AA-2330036..				JMalucelli Travelers Seguros S.A.....	COL.....	IA.....	Junto Holding Latam S.A.....	O/B/M.....	51.000	The Travelers Companies, Inc.....	N.....	0106.

Q12.2

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
3548	The Travelers Companies, Inc...	00000.....					Travelers Participações em Seguros Brasil S.A.....	BRA.....	NIA.....	Travelers Brazil Acquisition LLC.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	0106.
3548	The Travelers Companies, Inc...	00000.....	AA-2230022				Travelers Seguros Brasil S.A.....	BRA.....	IA.....	Travelers Participações em Seguros Brasil S.A.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	0106.
3548	The Travelers Companies, Inc...	19046.....	06-0876835				Travelers Casualty Insurance Company of America.....	CT.....	IA.....	Travelers Casualty and Surety Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	36170.....	06-1286266				Travelers Casualty Company of Connecticut.....	CT.....	IA.....	Travelers Casualty and Surety Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-6033504				Travelers Casualty UK Investments LLC.....	DE.....	NIA.....	Travelers Casualty and Surety Company.....	O/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	36137.....	06-1286268				Travelers Commercial Insurance Company.....	CT.....	IA.....	Travelers Casualty and Surety Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	29696.....	06-1203698				Travelers Excess and Surplus Lines Company.....	CT.....	IA.....	Travelers Casualty and Surety Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	41564.....	75-1732040				Travelers Lloyds of Texas Insurance Company.....	TX.....	IA.....	Travelers Casualty and Surety Company.....	O/M/A.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-6033504				The Family Business Institute LLC.....	DE.....	NIA.....	Travelers Casualty and Surety Company.....	O/B.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	82-0925474				IHP Capital Partners Fund VIII, L.P.....	DE.....	NIA.....	Travelers Casualty and Surety Company.....	O.....	97.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	25658.....	06-0566050				The Travelers Indemnity Company.....	CT.....	IA.....	Travelers Insurance Group Holdings Inc.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-0566050				Arch Street North LLC.....	DE.....	NIA.....	The Travelers Indemnity Company.....	O/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	25615.....	06-0291290				The Charter Oak Fire Insurance Company.....	CT.....	IA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-0566050				Travelers Real Estate, LLC.....	DE.....	NIA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	10647.....	59-3372141				First Floridian Auto and Home Insurance Company.....	FL.....	IA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	42811.....	56-1371361				Gulf Underwriters Insurance Company.....	CT.....	IA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	22233.....	75-6013697				Select Insurance Company.....	TX.....	IA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	AA-1120023				Travelers Casualty and Surety Company of Europe Limited.....	GBR.....	NIA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	Y.....	
3548	The Travelers Companies, Inc...	00000.....	06-0566050				Crystal Brook, LLC.....	DE.....	NIA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-0566050				Durham Holding, LLC.....	DE.....	NIA.....	Crystal Brook, LLC.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-0566050				Sutton Holdco, LLC.....	DE.....	NIA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-0566050				Cadet Limited, LLC.....	DE.....	NIA.....	Sutton Holdco, LLC.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-0566050				Arrowhead Company, LLC.....	DE.....	NIA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-0566050				Eastern Asset, LLC.....	DE.....	NIA.....	Arrowhead Company, LLC.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	43117.....	86-0703220				American Equity Insurance Company.....	AZ.....	IA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	10819.....	86-0868106				American Equity Specialty Insurance Company.....	CT.....	IA.....	American Equity Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	24015.....	41-6009967				Northland Insurance Company.....	CT.....	IA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	27987.....	41-0983992				Northfield Insurance Company.....	IA.....	IA.....	Northland Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	24031.....	94-6051964				Northland Casualty Company.....	CT.....	IA.....	Northland Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-0566050				Travelers Indemnity U.K. Investments LLC.....	CT.....	NIA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	06-0566050				TPC U.K. Investments LLC.....	DE.....	NIA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	25623.....	06-0303275				The Phoenix Insurance Company.....	CT.....	IA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	25666.....	58-6020487				The Travelers Indemnity Company of America.....	CT.....	IA.....	The Phoenix Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	25682.....	06-0336212				The Travelers Indemnity Company of Connecticut.....	CT.....	IA.....	The Phoenix Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	25674.....	36-2719165				Travelers Property Casualty Company of America.....	CT.....	IA.....	The Phoenix Insurance Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	N.....	
3548	The Travelers Companies, Inc...	00000.....	13-2743602				American Marine Management Services, Inc.....	NY.....	OTH.....	Travelers Property Casualty Company of America.....	O/B.....	47.500	The Travelers Companies, Inc.....	N.....	0107.

Q12.3

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
3548	The Travelers Companies, Inc...	00000.....	06-1501229..	.....	.....	.....	Constitution State Services LLC.....	DE.....	NIA.....	The Phoenix Insurance Company.....	O/M.....	100.000	The Travelers Companies, Inc.....	.....N.....	.....
3548	The Travelers Companies, Inc...	00000.....	06-0303275..	.....	.....	.....	Phoenix UK Investments LLC.....	DE.....	NIA.....	The Phoenix Insurance Company.....	O/M.....	100.000	The Travelers Companies, Inc.....	.....N.....	.....
3548	The Travelers Companies, Inc...	28188.....	35-1838077..	.....	.....	.....	TravCo Insurance Company.....	CT.....	IA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	.....N.....	.....
3548	The Travelers Companies, Inc...	00000.....	20-4403403..	.....	.....	.....	TINDY Foreign, Inc.....	DE.....	NIA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	.....Y.....	.....
3548	The Travelers Companies, Inc...	40282.....	95-3634110..	.....	.....	.....	Travelers Commercial Casualty Company.....	CT.....	IA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	.....N.....	.....
3548	The Travelers Companies, Inc...	00000.....	62-1657094..	.....	.....	.....	Travelers Distribution Alliance, Inc.....	DE.....	NIA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	.....Y.....	.....
3548	The Travelers Companies, Inc...	00000.....	06-0566050..	.....	.....	.....	Travelers TLD, LLC.....	DE.....	NIA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	.....N.....	.....
3548	The Travelers Companies, Inc...	00000.....	06-0566050..	.....	.....	.....	Tiercel, LLC.....	DE.....	NIA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	.....N.....	.....
3548	The Travelers Companies, Inc...	00000.....	06-0566050..	.....	.....	.....	Redstart, LLC.....	DE.....	NIA.....	Tiercel, LLC.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	.....N.....	.....
3548	The Travelers Companies, Inc...	27998.....	35-1838079..	.....	.....	.....	The Travelers Home and Marine Insurance Company.....	CT.....	IA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	.....N.....	.....
3548	The Travelers Companies, Inc...	41262.....	76-0002592..	.....	.....	.....	The Travelers Lloyds Insurance Company.....	TX.....	IA.....	The Travelers Indemnity Company.....	O/M/A.....	100.000	The Travelers Companies, Inc.....	.....N.....	.....
3548	The Travelers Companies, Inc...	00000.....	06-0566050..	.....	.....	.....	Travelers Marine, LLC.....	DE.....	NIA.....	The Travelers Indemnity Company.....	O/B/M.....	100.000	The Travelers Companies, Inc.....	.....N.....	.....

Q12.4

Aster	Explanation
0100.	Laurel Village Joint Venture Partnership is 50% owned by an unrelated party with no current economic interest.
0101.	USF&G/Fidelity Retail Associates Limited Partnership is 83.95% owned by United State Fidelity and Guaranty Company 1% owned by USF&G Retail Associates GP, Inc.
0102.	Black Mountain Ranch Limited Partnership is 85% owned by St. Paul Fire and Marine Insurance Company and 1% owned by BMR Sports Properties, Inc.
0103.	English Turn LLC is 99% owned by English Turn Fidelity Realty, Inc. and 1% owned by St. Paul Fire and Marine Insurance Company.
0104.	Fidelity English Turn Club LLC is 99% owned by English Turn Fidelity Realty, Inc. and 1% owned by English Turn Realty Management, Inc.
0105.	Bayhill Restaurant II Associates is 50% owned by an unrelated party, which is the manager.
0106.	Control determination is based upon application of the last sentence of SSAP 25 paragraph 4.
0107.	Ownership due to Travelers Property Casualty Company of America's participation interest as a subscriber in the American Hull Insurance Syndicate
0108.	St. Paul Fire and Marine owns a significant portion of the investment entity. Although it is managed by an unaffiliated partner, St. Paul Fire and Marine still has control of the entity

# UNITED STATES FIDELITY AND GUARANTY COMPANY

## PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....	.0	(25,329)	0.000	0.000
2. Allied lines.....	.0	2,449	0.000	0.000
3. Farmowners multiple peril.....	.0	.0	0.000	0.000
4. Homeowners multiple peril.....	.0	.0	0.000	0.000
5. Commercial multiple peril.....	.0	(84,085)	0.000	0.000
6. Mortgage guaranty.....	.0	.0	0.000	0.000
8. Ocean marine.....	.0	6,751	0.000	0.000
9. Inland marine.....	.0	(305)	0.000	0.000
10. Financial guaranty.....	.0	.0	0.000	0.000
11.1. Medical professional liability - occurrence.....	.0	37	0.000	0.000
11.2. Medical professional liability - claims-made.....	.0	.0	0.000	0.000
12. Earthquake.....	.0	.0	0.000	0.000
13. Group accident and health.....	.0	.0	0.000	0.000
14. Credit accident and health.....	.0	.0	0.000	0.000
15. Other accident and health.....	.0	150,126	0.000	0.000
16. Workers' compensation.....	(154,363)	5,950,991	(3,855.183)	(121,666.482)
17.1. Other liability-occurrence.....	.0	17,804,037	0.000	(167.803)
17.2. Other liability-claims made.....	.0	624,275	0.000	0.000
17.3. Excess workers' compensation.....	.0	(1,261,348)	0.000	0.000
18.1. Products liability-occurrence.....	.0	(46,960)	0.000	0.000
18.2. Products liability-claims made.....	.0	(535)	0.000	0.000
19.1, 19.2. Private passenger auto liability.....	.0	(9,070,399)	0.000	0.000
19.3, 19.4. Commercial auto liability.....	44	(627,627)	(1,426,425.932)	137,675.291
21. Auto physical damage.....	(141)	4,114	(2,917.461)	(328,912.000)
22. Aircraft (all perils).....	.0	126,259	0.000	0.000
23. Fidelity.....	800	1,111	139.006	(1,148.871)
24. Surety.....	360,917	(655,810)	(181.707)	(239.864)
26. Burglary and theft.....	.0	.0	0.000	0.000
27. Boiler and machinery.....	.0	.0	0.000	0.000
28. Credit.....	.0	.0	0.000	0.000
29. International.....	.0	.0	0.000	0.000
30. Warranty.....	.0	.0	0.000	0.000
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	.0	.0	0.000	0.000
35. Totals.....	207,256	12,897,752	6,223.108	(395.769)
<b>DETAILS OF WRITE-INS</b>				
3401.....	.0	.0	0.000	0.000
3402.....	.0	.0	0.000	0.000
3403.....	.0	.0	0.000	0.000
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	.0	.0	0.000	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	.0	.0	0.000	0.000

## PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire.....	.0	.0	.0
2. Allied lines.....	.0	.0	.0
3. Farmowners multiple peril.....	.0	.0	.0
4. Homeowners multiple peril.....	.0	.0	.0
5. Commercial multiple peril.....	.0	.0	.0
6. Mortgage guaranty.....	.0	.0	.0
8. Ocean marine.....	.0	.0	.0
9. Inland marine.....	.0	.0	.0
10. Financial guaranty.....	.0	.0	.0
11.1. Medical professional liability - occurrence.....	.0	.0	.0
11.2. Medical professional liability - claims made.....	.0	.0	.0
12. Earthquake.....	.0	.0	.0
13. Group accident and health.....	.0	.0	.0
14. Credit accident and health.....	.0	.0	.0
15. Other accident and health.....	.0	.0	.0
16. Workers' compensation.....	(2,560,493)	(3,928,821)	(4,500,016)
17.1. Other liability-occurrence.....	.0	.0	.0
17.2. Other liability-claims made.....	.0	.0	.0
17.3. Excess workers' compensation.....	.0	.0	.0
18.1. Products liability-occurrence.....	.0	.0	.0
18.2. Products liability-claims made.....	.0	.0	.0
19.1 19.2. Private passenger auto liability.....	.0	.0	.0
19.3 19.4. Commercial auto liability.....	.226	52	(936)
21. Auto physical damage.....	.0	(194)	(4)
22. Aircraft (all perils).....	.0	.0	.0
23. Fidelity.....	690	1,040	1,025
24. Surety.....	91,329	147,660	165,416
26. Burglary and theft.....	.0	.0	.0
27. Boiler and machinery.....	.0	.0	.0
28. Credit.....	.0	.0	.0
29. International.....	.0	.0	.0
30. Warranty.....	.0	.0	.0
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	.0	.0	.0
35. Totals.....	(2,468,248)	(3,780,263)	(4,334,515)
<b>DETAILS OF WRITE-INS</b>			
3401.....	.0	.0	.0
3402.....	.0	.0	.0
3403.....	.0	.0	.0
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	.0	.0	.0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	.0	.0	.0



**PART 3 (000 omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2019 Loss and LAE Payments on Claims Reported as of Prior Year-End	2019 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2019 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2016 + Prior.....	465,763	544,013	1,009,776	77,646	6,409	84,055	420,644	5,924	488,972	915,539	32,527	(42,709)	(10,182)
2. 2017.....	104,224	158,552	262,776	37,253	2,469	39,722	90,234	3,016	129,619	222,869	23,264	(23,449)	(185)
3. Subtotals 2017 + Prior.....	569,987	702,566	1,272,553	114,900	8,878	123,777	510,878	8,940	618,590	1,138,408	55,791	(66,158)	(10,367)
4. 2018.....	141,704	287,336	429,040	86,406	17,491	103,898	113,230	8,555	204,961	326,746	57,932	(56,328)	1,603
5. Subtotals 2018 + Prior.....	711,691	989,902	1,701,592	201,306	26,369	227,675	624,107	17,494	823,552	1,465,153	113,722	(122,486)	(8,764)
6. 2019.....	XXX	XXX	XXX	XXX	112,472	112,472	XXX	68,187	187,870	256,056	XXX	XXX	XXX
7. Totals.....	711,691	989,902	1,701,592	201,306	138,842	340,147	624,107	85,681	1,011,421	1,721,210	113,722	(122,486)	(8,764)
8. Prior Year-End's Surplus As Regards Policyholders	984,206										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. ....16.0%	2. ....(12.4)%	3. ....(0.5)%
													Col. 13, Line 7 Line 8
													4. ....(0.9)%

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# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<b>Response</b>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO _____
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	YES _____
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO _____
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO _____

**Explanation:**

1. The data for this supplement is not required to be filed.
- 2.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

**Bar Code:**



Statement for June 30, 2019 of the **UNITED STATES FIDELITY AND GUARANTY COMPANY**  
**Overflow Page for Write-Ins**

**Additional Write-ins for Assets:**

	Current Statement Date			4 December 31, Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Other assets.....	121,385	0	121,385	1,609,669
2505. Suspense, undistributed payments.....	(1,321)	0	(1,321)	15,257
2506. Assumed reinsurance receivable and payable.....	(7,578)	0	(7,578)	(7,519)
2597. Summary of remaining write-ins for Line 25.....	112,486	0	112,486	1,617,407

**Additional Write-ins for Liabilities:**

	1 Current Statement Date	2 December 31, Prior Year
2504. Retroactive reinsurance reserve ceded.....	(2,237,359)	(2,234,944)
2597. Summary of remaining write-ins for Line 25.....	(2,237,359)	(2,234,944)

# UNITED STATES FIDELITY AND GUARANTY COMPANY

## SCHEDULE A - VERIFICATION

### Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Current year change in encumbrances.....	0	0
4. Total gain (loss) on disposals.....	0	0
5. Deduct amounts received on disposals.....	0	0
6. Total foreign exchange change in book/adjusted carrying value.....	0	0
7. Deduct current year's other-than-temporary impairment recognized.....	0	0
8. Deduct current year's depreciation.....	0	0
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....	0	0
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

## SCHEDULE B - VERIFICATION

### Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	0	0
6. Total gain (loss) on disposals.....	0	0
7. Deduct amounts received on disposals.....	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....	0	0
10. Deduct current year's other-than-temporary impairment recognized.....	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....	0	0
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....	0	0
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

## SCHEDULE BA - VERIFICATION

### Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	2	2
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	0	0
6. Total gain (loss) on disposals.....	0	0
7. Deduct amounts received on disposals.....	0	0
8. Deduct amortization of premium and depreciation.....	0	0
9. Total foreign exchange change in book/adjusted carrying value.....	0	0
10. Deduct current year's other-than-temporary impairment recognized.....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	2	2
12. Deduct total nonadmitted amounts.....	0	0
13. Statement value at end of current period (Line 11 minus Line 12).....	2	2

## SCHEDULE D - VERIFICATION

### Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	2,834,700,707	2,677,501,569
2. Cost of bonds and stocks acquired.....	236,032,192	608,432,644
3. Accrual of discount.....	940,350	1,820,557
4. Unrealized valuation increase (decrease).....	(4,767,167)	165,992
5. Total gain (loss) on disposals.....	6,143,124	1,694,818
6. Deduct consideration for bonds and stocks disposed of.....	282,863,694	439,747,692
7. Deduct amortization of premium.....	7,073,856	15,820,198
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other-than-temporary impairment recognized.....	0	52,360
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....	356,661	705,377
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	2,783,468,317	2,834,700,707
12. Deduct total nonadmitted amounts.....	0	0
13. Statement value at end of current period (Line 11 minus Line 12).....	2,783,468,317	2,834,700,707

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	2,480,348,682	375,747,889	287,570,183	(5,334,621)	2,480,348,682	2,563,191,766		2,428,786,397
2. NAIC 2 (a).....	332,323,051	17,160,680	18,774,292	1,968,445	332,323,051	332,677,884		372,951,419
3. NAIC 3 (a).....	11,092,724	0	0	8,335	11,092,724	11,101,059		9,010,573
4. NAIC 4 (a).....	0	0	0	0	0	0		0
5. NAIC 5 (a).....	225,038	0	0	392,550	225,038	617,587		225,093
6. NAIC 6 (a).....	3,617,500	0	6,705	264,205	3,617,500	3,875,000		227,606
7. Total Bonds.....	2,827,606,994	392,908,569	306,351,180	(2,701,086)	2,827,606,994	2,911,463,296	0	2,811,201,086
<b>PREFERRED STOCK</b>								
8. NAIC 1.....	0	0	0	0	0	0		0
9. NAIC 2.....	0	0	0	0	0	0		0
10. NAIC 3.....	0	0	0	0	0	0		0
11. NAIC 4.....	0	0	0	0	0	0		0
12. NAIC 5.....	0	0	0	0	0	0		0
13. NAIC 6.....	0	0	0	0	0	0		0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	2,827,606,994	392,908,569	306,351,180	(2,701,086)	2,827,606,994	2,911,463,296	0	2,811,201,086

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$.....127,994,979; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

## SCHEDULE DA - PART 1

## Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	127,994,979	XXX.....	127,994,979	251,026	0

## SCHEDULE DA - VERIFICATION

## Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	83,566,077	187,175,457
2. Cost of short-term investments acquired.....	463,242,301	951,890,872
3. Accrual of discount.....	0	0
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	0	0
6. Deduct consideration received on disposals.....	418,811,782	1,055,470,030
7. Deduct amortization of premium.....	1,617	30,223
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other-than-temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	127,994,979	83,566,077
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	127,994,979	83,566,077

**Sch. DB - Pt. A - Verification  
NONE**

**Sch. DB - Pt. B - Verification  
NONE**

**Sch. DB - Pt. C - Sn. 1  
NONE**

**Sch. DB - Pt. C - Sn. 2  
NONE**

**Sch. DB - Verification  
NONE**

**Sch. E - Pt. 2 Verification  
NONE**

**Sch. A Pt. 2  
NONE**

**Sch. A Pt. 3  
NONE**

**Sch. B - Pt. 2  
NONE**

**Sch. B - Pt. 3  
NONE**

**Sch. BA - Pt. 2  
NONE**

**Sch. BA - Pt. 3  
NONE**

### SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/Market Indicator (a)
<b>Bonds - U.S. Government</b>									
912828	VZ 0 U S TREASURY NOTES 2.000 2020 09.....		06/21/2019.....	NOMURA SECURITIES INTL.....		10,013,705	10,000,000	46,448	1.....
912828	3G 3 U S TREASURY NOTES 1.750 2020 11.....		06/27/2019.....	CITIGROUP GLOBAL MARKETS.....		9,984,409	10,000,000	20,924	1.....
912828	5B 2 U S TREASURY NOTES 2.750 2020 09.....		06/19/2019.....	VARIOUS.....		20,184,833	20,000,000	115,710	1.....
83162C	C3 5 SBAP 2019-25F 1 2.770 2044 06.....		06/11/2019.....	STIFEL NICOLAUS & CO INC.....		7,003,281	7,000,000	1,077	1.....
0599999	Total - Bonds - U.S. Government.....					47,186,228	47,000,000	184,160	XXX.....
<b>Bonds - U.S. Political Subdivisions of States</b>									
156792	KC 6 CERRITOS CCD GEN OBLIG S 3.000 2040 08.....		06/12/2019.....	MORGAN STANLEY.....		6,529,365	6,560,000	0	1FE.....
390897	GE 0 GREAT NECK UNION FREE SC 3.000 2039 06.....		06/18/2019.....	FTN.....		2,383,523	2,405,000	0	1FE.....
447819	JA 2 HURST EULESS BEDFORD ISD 4.000 2040 08.....		06/10/2019.....	UBS AG.....		3,317,550	3,000,000	6,333	1FE.....
486063	YP 9 KATY ISD GO S2019 (PSF) 4.000 2041 02.....		06/13/2019.....	PIPER JAFFRAY.....		8,486,064	7,755,000	0	1FE.....
737050	P6 5 PORTSMOUTH GENERAL OBLIG 2.875 2035 06.....		06/14/2019.....	CITIGROUP GLOBAL MARKETS.....		2,256,231	2,275,000	0	1FE.....
737050	P7 3 PORTSMOUTH GENERAL OBLIG 3.000 2036 06.....		06/14/2019.....	CITIGROUP GLOBAL MARKETS.....		2,320,000	2,320,000	0	1FE.....
763261	3T 9 RICHARDSON ISD GEN OBLIG 4.000 2038 02.....		06/10/2019.....	CITIGROUP GLOBAL MARKETS.....		3,605,336	3,255,000	0	1FE.....
2499999	Total - Bonds - U.S. Political Subdivisions of States.....					28,898,069	27,570,000	6,333	XXX.....
<b>Bonds - U.S. Special Revenue and Special Assessment</b>									
3132A5	F9 1 FHLMC PASS THR #ZS4692 2.500 2046 12.....		06/11/2019.....	WELLS FARGO SECURITIES.....		4,925,000	5,000,000	4,167	1.....
3132AD	5W 4 FHLMC PASS THR #ZT1761 3.000 2047 02.....		06/18/2019.....	CITIGROUP GLOBAL MARKETS.....		9,686,648	9,543,496	15,111	1.....
31335C	GR 9 FHLMC GOLD PASSTHRU 3.000 2047 05.....		06/18/2019.....	WELLS FARGO SECURITIES.....		10,057,923	9,900,139	15,675	1.....
3140J8	DM 2 FNMA PASS THRU #BM3707 2.500 2047 04.....		06/11/2019.....	CITIGROUP GLOBAL MARKETS.....		4,924,804	5,000,000	4,167	1.....
3136B5	NL 5 FNR 2019-31 EG CMO 2.500 2048 10.....		06/26/2019.....	GOLDMAN SACHS & CO.....		11,961,563	12,000,000	0	1.....
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments.....					41,555,938	41,443,635	39,119	XXX.....
<b>Bonds - Industrial and Miscellaneous</b>									
002824	BF 6 ABBOTT LABORATORIES 3.750 2026 11.....		06/27/2019.....	CITIGROUP GLOBAL MARKETS.....		3,235,800	3,000,000	0	2FE.....
00724F	AC 5 ADOBE SYSTEMS INC 3.250 2025 02.....		06/25/2019.....	U S BANCORP.....		313,350	300,000	3,954	1FE.....
03027X	AV 2 AMERICAN TOWER CORP 2.950 2025 01.....		06/10/2019.....	J P MORGAN CHASE SECURITIES INC.....		1,983,760	2,000,000	0	2FE.....
00206R	HR 6 AT&T INC 3.550 2024 06.....		06/05/2019.....	EXCHANGED.....		1,028,300	1,000,000	394	2FE.....
081437	AM 7 BEMIS CO (144A) 4.500 2021 10.....	C.....	06/13/2019.....	EXCHANGE-TAX FREE.....		998,170	1,000,000	0	2FE.....
29364W	AZ 1 ENTERGY LOUISIANA 3.120 2027 09.....		06/26/2019.....	SBMC NIKKO SEC.....		3,060,510	3,000,000	30,420	1FE.....
337738	AS 7 FISERV INC 2.750 2024 07.....		06/10/2019.....	J P MORGAN CHASE SECURITIES INC.....		4,991,600	5,000,000	0	2FE.....
35805B	AA 6 FRESENIUS MED (144A) 3.750 2029 06.....	C.....	06/13/2019.....	WELLS FARGO SECURITIES.....		4,923,050	5,000,000	0	2FE.....
46849L	TK 7 JACKSON NATIONAL LIFE (1 2.650 2024 06.....	C.....	06/17/2019.....	J P MORGAN CHASE SECURITIES INC.....		4,990,250	5,000,000	0	1FE.....
59217G	DC 0 MET LIFE GLOBAL FUNDING 3.050 2029 06.....		06/11/2019.....	J P MORGAN CHASE SECURITIES INC.....		1,998,280	2,000,000	0	1FE.....
67080L	AA 3 NUVEEN LLC (144A) 4.000 2028 11.....		06/26/2019.....	VARIOUS.....		1,306,158	1,191,000	7,486	1FE.....
95000U	2F 9 WELLS FARGO & CO 3.196 2027 06.....		06/10/2019.....	WELLS FARGO SECURITIES.....		5,000,000	5,000,000	0	1FE.....
976826	BM 8 WISCONSIN POWER & LIGHT 3.000 2029 07.....		06/17/2019.....	BARCLAYS CAPITAL INC.....		4,985,400	5,000,000	0	1FE.....
05253J	AL 5 AUST & NZ BANKING GRP NY 3.700 2025 11.....	D.....	06/25/2019.....	DEUTSCHE BANC.....		2,673,575	2,500,000	10,535	1FE.....
33850B	AE 7 FSMT 2017-1 1A5 (144A) 3.500 2047 03.....		06/27/2019.....	J P MORGAN CHASE SECURITIES INC.....		10,780,072	10,593,039	0	1FE.....
3899999	Total - Bonds - Industrial and Miscellaneous.....					52,268,275	51,584,039	52,790	XXX.....
8399997	Total - Bonds - Part 3.....					169,908,510	167,597,674	282,402	XXX.....
8399999	Total - Bonds.....					169,908,510	167,597,674	282,402	XXX.....
9999999	Total - Bonds, Preferred and Common Stocks.....					169,908,510	XXX	282,402	XXX.....

QE04

(a) For all common stock bearing NAIC market indicator "U" provide the number of such issues:.....0.



**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol/Market Indicator (a)
<b>Bonds - U.S. Government</b>																					
912828	TC 4		06/30/2019	MATURED		4,825,000	4,825,000	4,794,483	4,821,498	0	3,502	0	3,502	0	4,825,000	0	0	0	5,125	06/30/2019	1
36179T	CW 3		06/20/2019	PAID ON PRINCIPAL		322,476	322,476	315,623	315,686	0	6,790	0	6,790	0	322,476	0	0	0	4,101	07/20/2047	1
36179T	LR 4		06/20/2019	PAID ON PRINCIPAL		366,070	366,070	358,519	358,614	0	7,456	0	7,456	0	366,070	0	0	0	4,655	11/20/2047	1
36202F	4Q 6		06/20/2019	PAID ON PRINCIPAL		110,450	110,450	115,541	116,316	0	(5,865)	0	(5,865)	0	110,450	0	0	0	1,626	03/20/2042	1
36202D	HK 0		06/20/2019	PAID ON PRINCIPAL		1,332	1,332	1,289	1,272	0	60	0	60	0	1,332	0	0	0	42	06/20/2030	1
36202D	KX 8		06/20/2019	PAID ON PRINCIPAL		1,843	1,843	1,856	1,867	0	(24)	0	(24)	0	1,843	0	0	0	53	12/20/2030	1
36202D	SA 0		06/20/2019	PAID ON PRINCIPAL		1,189	1,189	1,187	1,187	0	2	0	2	0	1,189	0	0	0	27	03/20/2032	1
36202D	T5 0		06/20/2019	PAID ON PRINCIPAL		2,125	2,125	2,122	2,122	0	4	0	4	0	2,125	0	0	0	49	08/20/2032	1
36202D	UH 2		06/20/2019	PAID ON PRINCIPAL		2,875	2,875	2,870	2,870	0	5	0	5	0	2,875	0	0	0	72	09/20/2032	1
36202D	U2 3		06/20/2019	PAID ON PRINCIPAL		3,743	3,743	3,736	3,736	0	6	0	6	0	3,743	0	0	0	78	10/20/2032	1
36202E	F2 0		06/20/2019	PAID ON PRINCIPAL		98,228	98,228	96,202	96,432	0	1,796	0	1,796	0	98,228	0	0	0	2,054	11/20/2035	1
36202E	UR 8		06/20/2019	PAID ON PRINCIPAL		14,240	14,240	12,883	12,903	0	1,337	0	1,337	0	14,240	0	0	0	267	07/20/2038	1
36223E	NT 7		06/15/2019	PAID ON PRINCIPAL		2,056	2,056	2,133	2,074	0	(18)	0	(18)	0	2,056	0	0	0	70	06/15/2021	1
36208H	NC 6		06/15/2019	PAID ON PRINCIPAL		566	566	565	565	0	0	0	0	0	566	0	0	0	18	08/15/2027	1
36211Q	7F 2		06/15/2019	PAID ON PRINCIPAL		6,436	6,436	6,434	6,430	0	6	0	6	0	6,436	0	0	0	134	12/15/2034	1
36213Y	P3 0		06/15/2019	PAID ON PRINCIPAL		37,928	37,928	36,905	36,950	0	977	0	977	0	37,928	0	0	0	1,023	01/15/2032	1
36200K	T4 9		06/15/2019	PAID ON PRINCIPAL		30,739	30,739	30,451	30,486	0	253	0	253	0	30,739	0	0	0	625	05/15/2033	1
36200M	UD 3		06/15/2019	PAID ON PRINCIPAL		8,254	8,254	8,176	8,180	0	73	0	73	0	8,254	0	0	0	175	08/15/2033	1
36200M	VP 5		06/15/2019	PAID ON PRINCIPAL		5,989	5,989	5,933	5,932	0	57	0	57	0	5,989	0	0	0	132	09/15/2033	1
36241K	BV 9		06/15/2019	PAID ON PRINCIPAL		21,890	21,890	23,290	22,421	0	(531)	0	(531)	0	21,890	0	0	0	638	08/15/2024	1
36241K	BW 7		06/15/2019	PAID ON PRINCIPAL		83,039	83,039	88,297	86,538	0	(3,499)	0	(3,499)	0	83,039	0	0	0	2,247	09/15/2028	1
36241K	BX 5		06/15/2019	PAID ON PRINCIPAL		88,599	88,599	94,173	92,905	0	(4,306)	0	(4,306)	0	88,599	0	0	0	2,586	08/15/2032	1
83162C	VX 8		05/01/2019	PAID ON PRINCIPAL		546,321	546,321	547,900	544,986	0	1,335	0	1,335	0	546,321	0	0	0	9,722	11/01/2033	1
0599999	Total - Bonds - U.S. Government					6,581,386	6,581,386	6,550,567	6,571,968	0	9,418	0	9,418	0	6,581,386	0	0	0	35,520	XXX	XXX
<b>Bonds - U.S. Political Subdivisions of States</b>																					
041431	QM 2		06/05/2019	MORGAN STANLEY		1,219,370	1,000,000	1,275,360	1,209,519	0	(11,078)	0	(11,078)	0	1,198,441	0	20,929	20,929	40,556	08/15/2031	1FE
041431	MP 9		05/09/2019	MUNI CENTER		474,820	450,000	492,539	464,381	0	(1,932)	0	(1,932)	0	462,449	0	12,371	12,371	13,911	08/01/2032	1FE
091212	CP 3		04/24/2019	MUNI CENTER		243,942	225,000	245,489	234,537	0	(610)	0	(610)	0	233,927	0	10,014	10,014	4,167	05/01/2031	1FE
091212	CQ 1		04/09/2019	MUNI CENTER		113,880	105,000	114,179	109,277	0	(256)	0	(256)	0	109,021	0	4,859	4,859	1,867	05/01/2032	1FE
283443	JY 6		06/05/2019	UBS AG		3,437,256	2,975,000	3,601,952	3,366,391	0	(27,822)	0	(27,822)	0	3,338,570	0	98,686	98,686	108,257	09/15/2033	1FE
355694	2G 4		04/03/2019	MUNI CENTER		537,330	500,000	533,485	515,621	0	(885)	0	(885)	0	514,736	0	22,594	22,594	7,486	05/01/2027	1FE
355694	2J 8		06/20/2019	MUNI CENTER		107,862	100,000	105,174	102,424	0	(254)	0	(254)	0	102,170	0	5,692	5,692	2,265	05/01/2029	1FE
412487	AR 1		05/01/2019	MUNI CENTER		693,046	650,000	687,954	666,924	0	(1,278)	0	(1,278)	0	665,646	0	27,399	27,399	16,824	02/01/2029	1FE
412487	AS 9		06/05/2019	VARIOUS		399,456	375,000	394,905	383,890	0	(817)	0	(817)	0	383,073	0	16,383	16,383	10,634	02/01/2030	1FE
591852	SF 6		05/03/2019	MUNI CENTER		109,265	100,000	114,964	105,882	0	(536)	0	(536)	0	105,345	0	3,920	3,920	3,075	09/01/2031	1FE
591852	SG 4		04/29/2019	MUNI CENTER		1,089,400	1,000,000	1,143,920	1,056,669	0	(4,914)	0	(4,914)	0	1,051,754	0	37,646	37,646	30,000	09/01/2032	1FE
736688	HL 9		06/15/2019	REDEEMED		5,000,000	5,000,000	5,517,750	5,029,388	0	(29,388)	0	(29,388)	0	5,000,000	0	0	0	125,000	06/15/2026	1FE
783244	BK 1		05/01/2019	VARIOUS		438,683	410,000	424,133	422,725	0	(952)	0	(952)	0	421,773	0	16,909	16,909	8,420	04/01/2027	1FE
783244	BL 9		04/24/2019	FIFTH THIRD SECURITIES		3,365,482	3,190,000	3,295,493	3,295,493	0	(7,483)	0	(7,483)	0	3,288,010	0	77,472	77,472	63,578	04/01/2028	1FE
819190	SF 7		04/26/2019	RBC CAPITAL MARKETS		3,615,675	3,490,000	3,946,806	3,604,916	0	(17,913)	0	(17,913)	0	3,587,002	0	28,672	28,672	104,312	02/01/2025	1FE

QE05

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol/Market Indicator (a)
968661	HD 3 WILL CO FOREST PRES DI 5.000		05/24/2019	MUNI CENTER		234,508	200,000	228,076	226,052	0	(1,672)	0	(1,672)	0	224,380	0	10,128	10,128	4,556	12/15/2024	1FE
2499999	Total - Bonds - U.S. Political Subdivisions of States					21,079,973	19,770,000	22,122,177	20,794,089	0	(107,790)	0	(107,790)	0	20,686,299	0	393,674	393,674	544,908	XXX	XXX

**Bonds - U.S. Special Revenue and Special Assessment**

24916T	AS 8 DENVER CITY & CO WTR C 4.500		06/24/2019	RBC CAPITAL MARKETS		215,119	200,000	224,346	208,307	0	(1,118)	0	(1,118)	0	207,189	0	7,930	7,930	4,113	12/15/2033	1FE
452227	CA 7 ILLINOIS SALES TAX REV 5.000		06/15/2019	REDEEMED		6,455,000	6,455,000	6,686,605	6,468,251	0	(13,251)	0	(13,251)	0	6,455,000	0	0	0	161,375	06/15/2028	2FE
452227	CV 1 ILLINOIS SALES TAX REV 5.250		06/15/2019	REDEEMED		2,125,000	2,125,000	2,270,520	2,133,351	0	(8,351)	0	(8,351)	0	2,125,000	0	0	0	55,781	06/15/2027	2FE
67919P	HN 6 OKLAHOMA WATER RES BRD 5.000		06/21/2019	MUNI CENTER		110,323	100,000	118,083	106,560	0	(938)	0	(938)	0	105,622	0	4,701	4,701	3,667	04/01/2031	1FE
810489	NW 0 SCOTTSDALE PPTY CORP E 3.000		04/29/2019	MUNI CENTER		813,257	775,000	782,580	778,573	0	(239)	0	(239)	0	778,334	0	34,922	34,922	18,438	07/01/2027	1FE
92817S	F7 8 VIRGINIA PUB BLDG AUTH 3.000		05/30/2019	RBC CAPITAL MARKETS		395,493	380,000	383,507	381,408	0	(162)	0	(162)	0	381,245	0	14,247	14,247	9,563	08/01/2029	1FE
31294M	GB 1 FHLMC GOLD PASSTHRU 3.500		06/15/2019	PAID ON PRINCIPAL		129,415	129,415	129,738	129,704	0	(289)	0	(289)	0	129,415	0	0	0	1,890	05/15/2026	1
3128M4	WX 7 FHLMC GOLD PASSTHRU 5.500		06/15/2019	PAID ON PRINCIPAL		826	826	818	821	0	5	0	5	0	826	0	0	0	19	06/15/2037	1
3132XC	R6 4 FHLMC GOLD PASSTHRU 3.500		06/15/2019	PAID ON PRINCIPAL		367,463	367,463	366,746	366,748	0	715	0	715	0	367,463	0	0	0	5,510	03/15/2048	1
3128MJ	TB 6 FHLMC GOLD PASSTHRU 3.500		06/15/2019	PAID ON PRINCIPAL		127,176	127,176	125,248	125,194	0	1,982	0	1,982	0	127,176	0	0	0	1,916	09/15/2043	1
3137FC	HE 7 FHR 4736 CD CMO 3.000		06/15/2019	PAID ON PRINCIPAL		498,500	498,500	489,821	489,866	0	8,634	0	8,634	0	498,500	0	0	0	6,424	08/15/2046	1
31402Q	5P 2 FN (10/1 ARM #735354 4.937		06/25/2019	PAID ON PRINCIPAL		3,324	3,324	3,340	3,317	0	7	0	7	0	3,324	0	0	0	65	03/25/2035	1
31406T	UG 4 FN (10/1 ARM #819683 4.875		06/25/2019	PAID ON PRINCIPAL		1,819	1,819	1,808	1,812	0	7	0	7	0	1,819	0	0	0	34	03/25/2035	1
31406U	DS 4 FN (10/1 ARM #820113 4.729		06/25/2019	PAID ON PRINCIPAL		12,521	12,521	12,355	12,478	0	43	0	43	0	12,521	0	0	0	271	11/25/2034	1
3138WV	PH 8 FNMA PASS TH #AT7623 3.000		06/25/2019	PAID ON PRINCIPAL		309,868	309,868	304,639	304,639	0	5,229	0	5,229	0	309,868	0	0	0	2,206	06/25/2043	1
3140J8	PK 3 FNMA PASS TH #BM4025 3.500		06/25/2019	PAID ON PRINCIPAL		489,775	489,775	486,331	486,324	0	3,451	0	3,451	0	489,775	0	0	0	7,294	04/25/2044	1
3140J8	PS 6 FNMA PASS TH #BM4032 3.500		06/25/2019	PAID ON PRINCIPAL		479,759	479,759	476,666	476,669	0	3,089	0	3,089	0	479,759	0	0	0	7,116	04/25/2047	1
31418C	UB 8 FNMA PASS TH #MA3277 4.000		06/25/2019	PAID ON PRINCIPAL		372,898	372,898	379,205	379,097	0	(6,199)	0	(6,199)	0	372,898	0	0	0	6,351	02/25/2048	1
31392C	MS 0 FNW 2002-W1 2A CMO 7.500		06/25/2019	PAID ON PRINCIPAL		10,879	10,879	11,433	10,823	0	56	0	56	0	10,879	0	0	0	248	02/25/2042	1FE
3133TR	7A 7 FSPC T-31 A5 6.993		06/25/2019	PAID ON PRINCIPAL		45,488	45,488	45,487	40,838	0	4,651	0	4,651	0	45,488	0	0	0	1,056	05/25/2031	1FE
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments					12,963,903	12,884,712	13,299,278	12,904,780	0	(2,678)	0	(2,678)	0	12,902,102	0	61,800	61,800	293,337	XXX	XXX

**Bonds - Industrial and Miscellaneous**

081437	AH 8 BEMIS CO 4.500	C	06/13/2019	EXCHANGE-TAX FREE		998,170	1,000,000	993,530	997,840	0	327	0	327	0	998,167	0	3	3	30,750	10/15/2021	2FE
134429	BF 5 CAMPBELL SOUP CO 3.950		05/09/2019	BARCLAYS CAPITAL INC		3,039,720	3,000,000	2,992,380	2,992,993	0	375	0	375	0	2,993,367	0	46,353	46,353	78,342	03/15/2025	2FE
26875P	AD 3 EOG RESOURCES INC 5.625		06/01/2019	MATURED		400,000	400,000	474,320	404,395	0	(4,395)	0	(4,395)	0	400,000	0	0	0	11,250	06/01/2019	1FE
340711	AQ 3 FLORIDA GAS TRANSMISSI 7.900		05/15/2019	MATURED		1,000,000	1,000,000	1,204,400	1,010,033	0	(10,033)	0	(10,033)	0	1,000,000	0	0	0	39,500	05/15/2019	2FE
485134	BL 3 KANSAS CITY POWER & LI 7.150		04/01/2019	MATURED		700,000	700,000	886,123	706,787	0	(6,787)	0	(6,787)	0	700,000	0	0	0	25,025	04/01/2019	1FE
485260	BL 6 KANSAS GAS & ELECTRIC 6.700		06/15/2019	MATURED		1,630,000	1,630,000	1,925,216	1,649,110	0	(19,110)	0	(19,110)	0	1,630,000	0	0	0	54,605	06/15/2019	1FE
52517P	D5 7 LEHMAN BROTHERS HOLDIN 5.000		04/04/2019	PAID ON PRINCIPAL		1,331	1,331	126	0	21	1,310	0	1,331	0	1,331	0	0	0	0	01/14/2011	6*
52517P	F6 3 LEHMAN BROTHERS HOLDIN 5.500		04/04/2019	PAID ON PRINCIPAL		2,705	2,705	338	0	43	2,663	0	2,705	0	2,705	0	0	0	0	04/04/2016	6*
52517P	SC 6 LEHMAN BROTHERS HOLDIN 6.625		04/04/2019	PAID ON PRINCIPAL		2,668	2,668	334	0	42	2,626	0	2,668	0	2,668	0	0	0	0	01/18/2012	6*
57636Q	AA 2 MASTERCARD INC 2.000		04/01/2019	MATURED		1,000,000	1,000,000	996,170	999,773	0	227	0	227	0	1,000,000	0	0	0	10,000	04/01/2019	1FE
58013M	EQ 3 MCDONALDS CORP 1.875		05/29/2019	MATURED		1,000,000	1,000,000	990,450	999,387	0	613	0	613	0	1,000,000	0	0	0	9,375	05/29/2019	2FE
69353R	FJ 2 PNC BANK NA 3.250		04/17/2019	DAIWA CAPITAL MARKET		1,999,940	2,000,000	1,990,520	1,991,267	0	217	0	217	0	1,991,484	0	8,456	8,456	48,750	01/22/2028	1FE
693475	AT 2 PNC FINANCIAL SERVICES 3.150		05/28/2019	RBC CAPITAL MARKETS		1,005,290	1,000,000	997,960	998,223	0	91	0	91	0	998,315	0	6,975	6,975	16,713	05/19/2027	1FE
736508	BQ 4 PORTLAND GENERAL ELECT 6.100		04/15/2019	MATURED		1,000,000	1,000,000	1,204,750	1,008,508	0	(8,508)	0	(8,508)	0	1,000,000	0	0	0	30,500	04/15/2019	1FE
867914	BG 7 SUNTRUST BANKS INC 2.500		05/01/2019	MATURED		1,000,000	1,000,000	999,110	999,903	0	98	0	98	0	1,000,000	0	0	0	12,500	05/01/2019	2FE
887317	AV 7 TIME WARNER INC 3.550		06/05/2019	EXCHANGED		1,028,300	1,000,000	999,080	999,441	0	57	0	57	0	999,498	0	28,802	28,802	19,144	06/01/2024	2FE

QE05 1

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For rei gn	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Admini- strative Symbol/ Market Indicator (a)
94974B	FU 9 WELLS FARGO 2.125		04/22/2019	MATURED		1,000,000	1,000,000	999,050	999,909	0	91	0	91	0	1,000,000	0	0	0	10,625	04/22/2019	1FE
67077M	AA 6 NUTRIEN LTD 6.500	A	05/15/2019	MATURED		1,200,000	1,200,000	1,245,504	1,215,536	0	(15,536)	0	(15,536)	0	1,200,000	0	0	0	39,000	05/15/2019	2FE
22303Q	AL 4 COVIDIEN INTERNATIONAL 4.200	D	04/06/2019	MAKE WHOLE CALL		2,038,540	2,000,000	2,030,210	2,005,581	0	(1,060)	0	(1,060)	0	2,004,520	0	(4,520)	(4,520)	64,440	06/15/2020	1FE
92857W	BC 3 VODAFONE GROUP PLC 2.950	D	06/20/2019	TENDERED		1,015,260	1,000,000	1,004,570	1,003,659	0	(399)	0	(399)	0	1,003,260	0	12,000	12,000	24,665	02/19/2023	2FE
00842V	AE 3 ABMT 2016-3 A5 (144A) 3.500		06/25/2019	PAID ON PRINCIPAL		124,983	124,983	124,280	124,296	0	687	0	687	0	124,983	0	0	0	1,919	08/25/2046	1FE
07386Y	AE 4 BSARM 2007-5 3A1 CMO 4.112		06/25/2019	PAID ON PRINCIPAL		19,765	19,765	15,533	9,312	0	10,453	0	10,453	0	19,765	0	0	0	340	08/25/2047	1FM
07386Y	AE 4 BSARM 2007-5 3A1 CMO 4.112		06/25/2019	WRITTEN OFF		0	1,650	1,297	778	0	(27)	0	(27)	0	751	0	(751)	(751)	28	08/25/2047	1FM
12544W	AG 2 CWHL 2007-HY3 4A1 CMO 4.310		06/25/2019	PAID ON PRINCIPAL		119,139	119,139	101,443	40,294	0	78,845	0	78,845	0	119,139	0	0	0	2,211	06/25/2047	1FM
12544W	AG 2 CWHL 2007-HY3 4A1 CMO 4.310		06/25/2019	WRITTEN OFF		0	263	224	89	0	(0)	0	(0)	0	89	0	(89)	(89)	4	06/25/2047	1FM
29977J	AB 2 EVER 2013-1 A2 CMO 2.500		06/25/2019	PAID ON PRINCIPAL		178,561	178,561	160,928	159,891	0	18,670	0	18,670	0	178,561	0	0	0	1,721	03/25/2043	1FM
32051G	B7 9 FHASI 2005-7 A1 CMO 5.500		06/25/2019	PAID ON PRINCIPAL		362,850	362,850	291,898	232,086	0	130,764	0	130,764	0	362,850	0	0	0	8,332	12/25/2035	1FM
32051G	B7 9 FHASI 2005-7 A1 CMO 5.500		06/25/2019	WRITTEN OFF		0	53,981	43,426	34,527	0	(3,103)	0	(3,103)	0	31,425	0	(31,425)	(31,425)	1,484	12/25/2035	1FM
74958T	AJ 2 RFMSI 2007-SA3 3A4 CMO 5.461		06/25/2019	PAID ON PRINCIPAL		140,113	140,113	112,364	71,628	0	68,485	0	68,485	0	140,113	0	0	0	2,543	07/27/2037	1FM
74958T	AJ 2 RFMSI 2007-SA3 3A4 CMO 5.461		06/25/2019	WRITTEN OFF		0	12,632	10,130	6,457	0	(294)	0	(294)	0	6,164	0	(6,164)	(6,164)	286	07/27/2037	1FM
886312	AD 9 TBMLT 2018-2 A4 (144A) 3.500		06/25/2019	PAID ON PRINCIPAL		194,953	194,953	193,064	193,285	0	1,668	0	1,668	0	194,953	0	0	0	2,937	07/25/2048	1FM
12630D	AW 4 COMM 2014-CR14 ASB 3.743		06/10/2019	PAID ON PRINCIPAL		252,476	252,476	255,672	255,522	0	(3,046)	0	(3,046)	0	252,476	0	0	0	3,940	02/10/2047	1FE
20846Q	HX 9 CNF 2001-4 A4 7.360		06/01/2019	PAID ON PRINCIPAL		113,737	113,737	94,446	112,010	0	1,727	0	1,727	0	113,737	0	0	0	3,426	08/01/2032	1FE
393505	E3 2 GT 1998-3 A5 6.220		06/01/2019	PAID ON PRINCIPAL		40,111	40,111	33,367	35,591	0	4,520	0	4,520	0	40,111	0	0	0	1,036	03/01/2030	1FE
393505	F4 9 GT 1998-4 A5 6.180		06/01/2019	PAID ON PRINCIPAL		100,939	100,939	81,508	83,399	0	17,540	0	17,540	0	100,939	0	0	0	2,619	04/01/2030	1FE
3899999	Total - Bonds - Industrial and Miscellaneous					22,709,551	22,652,857	23,453,721	22,341,507	106	269,755	0	269,861	0	22,611,369	0	59,642	59,642	558,009	XXX	XXX
8399997	Total - Bonds - Part 4					63,334,812	61,888,954	65,425,743	62,612,345	106	168,705	0	168,811	0	62,781,156	0	515,117	515,117	1,431,774	XXX	XXX
8399999	Total - Bonds					63,334,812	61,888,954	65,425,743	62,612,345	106	168,705	0	168,811	0	62,781,156	0	515,117	515,117	1,431,774	XXX	XXX
<b>Common Stocks - Industrial and Miscellaneous</b>																					
46581@	10 8 IVANS INC STUB		05/10/2019	DIRECT WITH COMPANY	1,000	132,511	XXX	0	0	0	0	0	0	0	0	0	132,511	132,511	0	XXX	U
9099999	Total - Common Stocks - Industrial and Miscellaneous					132,511	XXX	0	0	0	0	0	0	0	0	0	132,511	132,511	0	XXX	XXX
9799997	Total - Common Stocks - Part 4					132,511	XXX	0	0	0	0	0	0	0	0	0	132,511	132,511	0	XXX	XXX
9799999	Total - Common Stocks					132,511	XXX	0	0	0	0	0	0	0	0	0	132,511	132,511	0	XXX	XXX
9899999	Total - Preferred and Common Stocks					132,511	XXX	0	0	0	0	0	0	0	0	0	132,511	132,511	0	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks					63,467,323	XXX	65,425,743	62,612,345	106	168,705	0	168,811	0	62,781,156	0	647,628	647,628	1,431,774	XXX	XXX

QE05.2

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues: 1.

**Sch. DB - Pt. A - Sn. 1**  
**NONE**

**Sch. DB - Pt. B - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 2**  
**NONE**

**SCHEDULE DL - PART 1  
SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets reported in aggregate on one Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E.)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation and Administrative Symbol / Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Date
<b>U.S. Government - Issuer Obligations</b>						
	REPO - BNP A TREASURY.....	.....	1.....	8,499,877	8,499,877	07/01/2019.....
	REPO - BOFA A TREASURY.....	.....	1.....	1,753,713	1,753,713	07/01/2019.....
	REPO - CREDIT A TREASURY.....	.....	1.....	8,499,877	8,499,877	07/01/2019.....
	REPO - HSBC A TREASURY.....	.....	1.....	8,499,877	8,499,877	07/01/2019.....
	REPO - JP A TREASURY.....	.....	1.....	8,499,877	8,499,877	.....
0199999.	U.S. Government - Issuer Obligations.....			35,753,221	35,753,221	XXX
0599999.	Total - U.S. Government.....			35,753,221	35,753,221	XXX
<b>Totals</b>						
6499999.	Total - Issuer Obligations.....			35,753,221	35,753,221	XXX
7099999.	Subtotal - Bonds.....			35,753,221	35,753,221	XXX
9999999.	Totals.....			35,753,221	35,753,221	XXX

General Interrogatories:

- The activity for the year: Fair Value \$.....19,068,175 Book/Adjusted Carrying Value \$.....19,068,175
- Average balance for the year: Fair Value \$.....22,720,270 Book/Adjusted Carrying Value \$.....22,720,270
- Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:  
 NAIC 1: \$.....35,753,221 NAIC 2: \$.....0 NAIC 3: \$.....0 NAIC 4: \$.....0 NAIC 5: \$.....0 NAIC 6: \$.....0

**SCHEDULE DL - PART 2  
SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page).

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation and Administrative Symbol / Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Date

General Interrogatories:

1. The activity for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
2. Average balance for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0

**NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount or interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
Citibank, N.A..... New Castle,DE.....		.....0.000	.....0	.....0	.....96,338	.....97,175	.....97,589	XXX
JP Morgan Chase Bank, N.A..... New York,NY.....		.....0.000	.....0	.....0	.....(1,604,078)	.....(2,170,713)	.....(2,723,356)	XXX
0199999. Total Open Depositories.....	XXX	XXX	.....0	.....0	.....(1,507,740)	.....(2,073,537)	.....(2,625,767)	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	.....0	.....0	.....(1,507,740)	.....(2,073,537)	.....(2,625,767)	XXX
0599999. Total Cash.....	XXX	XXX	.....0	.....0	.....(1,507,740)	.....(2,073,537)	.....(2,625,767)	XXX

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Amount Received During Year
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**NONE**

QE13





**SUPPLEMENT "A" TO SCHEDULE T**

**EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN  
ALLOCATED BY STATES AND TERRITORIES**

Designate the type of health care providers reported on this page.

**Physicians - Including Surgeons and Osteopaths**

States, Etc.	1 Direct Premiums Written	2 Direct Premiums Earned	Direct Losses Paid		5 Direct Losses Incurred	Direct Losses Unpaid		8 Direct Losses Incurred But Not Reported
			3 Amount	4 Number of Claims		6 Amount Reported	7 Number of Claims	
1. Alabama.....AL	0	0	0	0	0	0	0	(1)
2. Alaska.....AK	0	0	0	0	0	0	0	0
3. Arizona.....AZ	0	0	0	0	0	0	0	0
4. Arkansas.....AR	0	0	0	0	0	0	0	(2)
5. California.....CA	0	0	0	0	1	0	0	(6)
6. Colorado.....CO	0	0	0	0	0	0	0	(4)
7. Connecticut.....CT	0	0	0	0	0	0	0	0
8. Delaware.....DE	0	0	0	0	0	0	0	0
9. District of Columbia.....DC	0	0	0	0	0	0	0	0
10. Florida.....FL	0	0	0	0	0	0	0	(0)
11. Georgia.....GA	0	0	0	0	0	0	0	(2)
12. Hawaii.....HI	0	0	0	0	0	0	0	0
13. Idaho.....ID	0	0	0	0	0	0	0	(0)
14. Illinois.....IL	0	0	0	0	0	0	0	(3)
15. Indiana.....IN	0	0	0	0	0	0	0	(1)
16. Iowa.....IA	0	0	0	0	0	0	0	(5)
17. Kansas.....KS	0	0	0	0	0	0	0	0
18. Kentucky.....KY	0	0	0	0	1	0	0	(8)
19. Louisiana.....LA	0	0	0	0	0	0	0	0
20. Maine.....ME	0	0	0	0	0	0	0	(0)
21. Maryland.....MD	0	0	0	0	0	1	1	(0)
22. Massachusetts.....MA	0	0	0	0	0	0	0	(1)
23. Michigan.....MI	0	0	0	0	0	0	0	0
24. Minnesota.....MN	0	0	0	0	0	0	0	0
25. Mississippi.....MS	0	0	0	0	6	0	0	(67)
26. Missouri.....MO	0	0	0	0	0	0	0	0
27. Montana.....MT	0	0	0	0	0	0	0	(1)
28. Nebraska.....NE	0	0	0	0	0	0	0	(0)
29. Nevada.....NV	0	0	0	0	0	0	0	0
30. New Hampshire.....NH	0	0	0	0	0	0	0	0
31. New Jersey.....NJ	0	0	0	0	0	0	0	0
32. New Mexico.....NM	0	0	0	0	0	0	0	(2)
33. New York.....NY	0	0	0	0	0	0	0	(0)
34. North Carolina.....NC	0	0	0	0	0	0	0	(1)
35. North Dakota.....ND	0	0	0	0	0	0	0	0
36. Ohio.....OH	0	0	0	0	0	0	0	(1)
37. Oklahoma.....OK	0	0	0	0	0	0	0	0
38. Oregon.....OR	0	0	0	0	0	0	0	(0)
39. Pennsylvania.....PA	0	0	0	0	1	0	0	(12)
40. Rhode Island.....RI	0	0	0	0	0	0	0	0
41. South Carolina.....SC	0	0	0	0	0	0	0	0
42. South Dakota.....SD	0	0	0	0	0	0	0	0
43. Tennessee.....TN	0	0	0	0	1	0	0	(7)
44. Texas.....TX	0	0	0	0	0	0	0	(4)
45. Utah.....UT	0	0	0	0	0	0	0	(5)
46. Vermont.....VT	0	0	0	0	0	0	0	0
47. Virginia.....VA	0	0	0	0	1	0	0	(13)
48. Washington.....WA	0	0	0	0	0	0	0	0
49. West Virginia.....WV	0	0	0	0	1	0	0	(9)
50. Wisconsin.....WI	0	0	0	0	0	0	0	0
51. Wyoming.....WY	0	0	0	0	0	0	0	(4)
52. American Samoa.....AS	0	0	0	0	0	0	0	0
53. Guam.....GU	0	0	0	0	0	0	0	0
54. Puerto Rico.....PR	0	0	0	0	0	0	0	0
55. US Virgin Islands.....VI	0	0	0	0	0	0	0	0
56. Northern Mariana Islands.....MP	0	0	0	0	0	0	0	0
57. Canada.....CAN	0	0	0	0	0	0	0	0
58. Aggregate Other Alien.....OT	0	0	0	0	0	0	0	0
59. Totals.....	0	0	0	0	15	1	1	(158)

**DETAILS OF WRITE-INS**

58001.....	0	0	0	0	0	0	0	0
58002.....	0	0	0	0	0	0	0	0
58003.....	0	0	0	0	0	0	0	0
58998. Summary of remaining write-ins for Line 58 from overflow page.	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003 + 58998) (Line 58 above).....	0	0	0	0	0	0	0	0



**SUPPLEMENT "A" TO SCHEDULE T**

**EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN  
ALLOCATED BY STATES AND TERRITORIES**

Designate the type of health care providers reported on this page.

**Hospitals**

States, Etc.	1 Direct Premiums Written	2 Direct Premiums Earned	Direct Losses Paid		5 Direct Losses Incurred	Direct Losses Unpaid		8 Direct Losses Incurred But Not Reported
			3 Amount	4 Number of Claims		6 Amount Reported	7 Number of Claims	
1. Alabama.....AL	0	0	0	0	0	0	0	(1)
2. Alaska.....AK	0	0	0	0	0	0	0	0
3. Arizona.....AZ	0	0	0	0	0	0	0	0
4. Arkansas.....AR	0	0	0	0	0	0	0	(2)
5. California.....CA	0	0	0	0	1	0	0	(12)
6. Colorado.....CO	0	0	0	0	1	0	0	(11)
7. Connecticut.....CT	0	0	0	0	0	0	0	0
8. Delaware.....DE	0	0	0	0	0	0	0	0
9. District of Columbia.....DC	0	0	0	0	0	0	0	0
10. Florida.....FL	0	0	0	0	0	0	0	(0)
11. Georgia.....GA	0	0	0	0	0	0	0	(2)
12. Hawaii.....HI	0	0	0	0	0	0	0	0
13. Idaho.....ID	0	0	0	0	0	0	0	0
14. Illinois.....IL	0	0	0	0	1	0	0	(5)
15. Indiana.....IN	0	0	0	0	0	0	0	(1)
16. Iowa.....IA	0	0	0	0	0	0	0	(3)
17. Kansas.....KS	0	0	0	0	0	0	0	0
18. Kentucky.....KY	0	0	0	0	1	0	0	(6)
19. Louisiana.....LA	0	0	0	0	0	0	0	0
20. Maine.....ME	0	0	0	0	0	0	0	(0)
21. Maryland.....MD	0	0	0	0	0	0	0	(1)
22. Massachusetts.....MA	0	0	0	0	0	0	0	(0)
23. Michigan.....MI	0	0	0	0	0	0	0	0
24. Minnesota.....MN	0	0	0	0	0	0	0	0
25. Mississippi.....MS	0	0	0	0	1	0	0	(11)
26. Missouri.....MO	0	0	0	0	0	0	0	0
27. Montana.....MT	0	0	0	0	0	0	0	(1)
28. Nebraska.....NE	0	0	0	0	0	0	0	(0)
29. Nevada.....NV	0	0	0	0	0	0	0	0
30. New Hampshire.....NH	0	0	0	0	0	0	0	0
31. New Jersey.....NJ	0	0	0	0	0	0	0	0
32. New Mexico.....NM	0	0	0	0	4	0	0	(36)
33. New York.....NY	0	0	0	0	0	0	0	(1)
34. North Carolina.....NC	0	0	0	0	0	0	0	(2)
35. North Dakota.....ND	0	0	0	0	0	0	0	0
36. Ohio.....OH	0	0	0	0	0	0	0	(1)
37. Oklahoma.....OK	0	0	0	0	0	0	0	0
38. Oregon.....OR	0	0	0	0	0	0	0	(1)
39. Pennsylvania.....PA	0	0	0	0	3	0	0	(32)
40. Rhode Island.....RI	0	0	0	0	0	0	0	0
41. South Carolina.....SC	0	0	0	0	0	0	0	0
42. South Dakota.....SD	0	0	0	0	0	0	0	0
43. Tennessee.....TN	0	0	0	0	2	0	0	(17)
44. Texas.....TX	0	0	0	0	0	0	0	(3)
45. Utah.....UT	0	0	0	0	0	0	0	(2)
46. Vermont.....VT	0	0	0	0	0	0	0	0
47. Virginia.....VA	0	0	0	0	0	0	0	(3)
48. Washington.....WA	0	0	0	0	0	0	0	0
49. West Virginia.....WV	0	0	0	0	0	0	0	(2)
50. Wisconsin.....WI	0	0	0	0	0	0	0	0
51. Wyoming.....WY	0	0	0	0	0	0	0	(5)
52. American Samoa.....AS	0	0	0	0	0	0	0	0
53. Guam.....GU	0	0	0	0	0	0	0	0
54. Puerto Rico.....PR	0	0	0	0	0	0	0	0
55. US Virgin Islands.....VI	0	0	0	0	0	0	0	0
56. Northern Mariana Islands.....MP	0	0	0	0	0	0	0	0
57. Canada.....CAN	0	0	0	0	0	0	0	0
58. Aggregate Other Alien.....OT	0	0	0	0	0	0	0	0
59. Totals.....	0	0	0	0	16	0	0	(161)

**DETAILS OF WRITE-INS**

58001.....	0	0	0	0	0	0	0	0
58002.....	0	0	0	0	0	0	0	0
58003.....	0	0	0	0	0	0	0	0
58998. Summary of remaining write-ins for Line 58 from overflow page.	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003 + 58998) (Line 58 above).....	0	0	0	0	0	0	0	0



**SUPPLEMENT "A" TO SCHEDULE T**

**EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN  
ALLOCATED BY STATES AND TERRITORIES**

Designate the type of health care providers reported on this page.

**Other Health Care Professionals, Including Dentists, Chiropractors and Podiatrists**

States, Etc.	1 Direct Premiums Written	2 Direct Premiums Earned	Direct Losses Paid		5 Direct Losses Incurred	Direct Losses Unpaid		8 Direct Losses Incurred But Not Reported
			3 Amount	4 Number of Claims		6 Amount Reported	7 Number of Claims	
1. Alabama.....AL	0	0	0	0	0	0	0	(0)
2. Alaska.....AK	0	0	0	0	0	0	0	0
3. Arizona.....AZ	0	0	0	0	0	0	0	0
4. Arkansas.....AR	0	0	0	0	0	0	0	(0)
5. California.....CA	0	0	0	0	0	0	0	(2)
6. Colorado.....CO	0	0	0	0	0	0	0	(2)
7. Connecticut.....CT	0	0	0	0	0	0	0	0
8. Delaware.....DE	0	0	0	0	0	0	0	0
9. District of Columbia.....DC	0	0	0	0	0	0	0	0
10. Florida.....FL	0	0	0	0	0	0	0	(0)
11. Georgia.....GA	0	0	0	0	0	0	0	(0)
12. Hawaii.....HI	0	0	0	0	0	0	0	0
13. Idaho.....ID	0	0	0	0	0	0	0	(1)
14. Illinois.....IL	0	0	0	0	0	0	0	(0)
15. Indiana.....IN	0	0	0	0	0	0	0	(0)
16. Iowa.....IA	0	0	0	0	0	0	0	(1)
17. Kansas.....KS	0	0	0	0	0	0	0	0
18. Kentucky.....KY	0	0	0	0	0	0	0	(2)
19. Louisiana.....LA	0	0	0	0	0	0	0	0
20. Maine.....ME	0	0	0	0	0	0	0	(0)
21. Maryland.....MD	0	0	0	0	0	0	0	(0)
22. Massachusetts.....MA	0	0	0	0	0	0	0	(0)
23. Michigan.....MI	0	0	0	0	0	0	0	0
24. Minnesota.....MN	0	0	0	0	0	0	0	0
25. Mississippi.....MS	0	0	0	0	0	0	0	(2)
26. Missouri.....MO	0	0	0	0	0	0	0	0
27. Montana.....MT	0	0	0	0	0	0	0	(0)
28. Nebraska.....NE	0	0	0	0	0	0	0	(0)
29. Nevada.....NV	0	0	0	0	0	0	0	0
30. New Hampshire.....NH	0	0	0	0	0	0	0	0
31. New Jersey.....NJ	0	0	0	0	0	0	0	0
32. New Mexico.....NM	0	0	0	0	0	0	0	(3)
33. New York.....NY	0	0	0	0	0	0	0	(1)
34. North Carolina.....NC	0	0	0	0	0	0	0	(0)
35. North Dakota.....ND	0	0	0	0	0	0	0	0
36. Ohio.....OH	0	0	0	0	0	0	0	(0)
37. Oklahoma.....OK	0	0	0	0	0	0	0	0
38. Oregon.....OR	0	0	0	0	0	0	0	(0)
39. Pennsylvania.....PA	0	0	0	0	0	0	0	(4)
40. Rhode Island.....RI	0	0	0	0	0	0	0	0
41. South Carolina.....SC	0	0	0	0	0	0	0	0
42. South Dakota.....SD	0	0	0	0	0	0	0	0
43. Tennessee.....TN	0	0	0	0	0	0	0	(1)
44. Texas.....TX	0	0	0	0	0	0	0	(1)
45. Utah.....UT	0	0	0	0	0	0	0	(0)
46. Vermont.....VT	0	0	0	0	0	0	0	0
47. Virginia.....VA	0	0	0	0	0	0	0	(1)
48. Washington.....WA	0	0	0	0	0	0	0	0
49. West Virginia.....WV	0	0	0	0	0	0	0	(0)
50. Wisconsin.....WI	0	0	0	0	0	0	0	0
51. Wyoming.....WY	0	0	0	0	0	0	0	(2)
52. American Samoa.....AS	0	0	0	0	0	0	0	0
53. Guam.....GU	0	0	0	0	0	0	0	0
54. Puerto Rico.....PR	0	0	0	0	0	0	0	0
55. US Virgin Islands.....VI	0	0	0	0	0	0	0	0
56. Northern Mariana Islands.....MP	0	0	0	0	0	0	0	0
57. Canada.....CAN	0	0	0	0	0	0	0	0
58. Aggregate Other Alien.....OT	0	0	0	0	0	0	0	0
59. Totals.....	0	0	0	0	2	0	0	(24)

**DETAILS OF WRITE-INS**

58001.....	0	0	0	0	0	0	0	0
58002.....	0	0	0	0	0	0	0	0
58003.....	0	0	0	0	0	0	0	0
58998. Summary of remaining write-ins for Line 58 from overflow page.	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003 + 58998) (Line 58 above).....	0	0	0	0	0	0	0	0



**SUPPLEMENT "A" TO SCHEDULE T**

**EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN  
ALLOCATED BY STATES AND TERRITORIES**

Designate the type of health care providers reported on this page.

**Other Health Care Facilities**

States, Etc.	1 Direct Premiums Written	2 Direct Premiums Earned	Direct Losses Paid		5 Direct Losses Incurred	Direct Losses Unpaid		8 Direct Losses Incurred But Not Reported
			3 Amount	4 Number of Claims		6 Amount Reported	7 Number of Claims	
1. Alabama.....AL	0	0	0	0	0	0	0	(0)
2. Alaska.....AK	0	0	0	0	0	0	0	0
3. Arizona.....AZ	0	0	0	0	0	0	0	0
4. Arkansas.....AR	0	0	0	0	0	0	0	(0)
5. California.....CA	0	0	0	0	1	0	0	(6)
6. Colorado.....CO	0	0	0	0	0	0	0	(3)
7. Connecticut.....CT	0	0	0	0	0	0	0	0
8. Delaware.....DE	0	0	0	0	0	0	0	0
9. District of Columbia.....DC	0	0	0	0	0	0	0	0
10. Florida.....FL	0	0	0	0	0	0	0	(0)
11. Georgia.....GA	0	0	0	0	0	0	0	(0)
12. Hawaii.....HI	0	0	0	0	0	0	0	0
13. Idaho.....ID	0	0	0	0	0	0	0	(2)
14. Illinois.....IL	0	0	0	0	0	0	0	(1)
15. Indiana.....IN	0	0	0	0	0	0	0	(1)
16. Iowa.....IA	0	0	0	0	0	0	0	(1)
17. Kansas.....KS	0	0	0	0	0	0	0	0
18. Kentucky.....KY	0	0	0	0	0	0	0	(1)
19. Louisiana.....LA	0	0	0	0	0	0	0	0
20. Maine.....ME	0	0	0	0	0	0	0	(0)
21. Maryland.....MD	0	0	0	0	0	0	0	(0)
22. Massachusetts.....MA	0	0	0	0	0	0	0	(1)
23. Michigan.....MI	0	0	0	0	0	0	0	0
24. Minnesota.....MN	0	0	0	0	0	0	0	0
25. Mississippi.....MS	0	0	0	0	1	0	0	(10)
26. Missouri.....MO	0	0	0	0	0	0	0	0
27. Montana.....MT	0	0	0	0	0	0	0	(0)
28. Nebraska.....NE	0	0	0	0	0	0	0	(0)
29. Nevada.....NV	0	0	0	0	0	0	0	0
30. New Hampshire.....NH	0	0	0	0	0	0	0	0
31. New Jersey.....NJ	0	0	0	0	0	0	0	0
32. New Mexico.....NM	0	0	0	0	0	0	0	(2)
33. New York.....NY	0	0	0	0	0	0	0	(0)
34. North Carolina.....NC	0	0	0	0	0	0	0	(0)
35. North Dakota.....ND	0	0	0	0	0	0	0	0
36. Ohio.....OH	0	0	0	0	0	0	0	(0)
37. Oklahoma.....OK	0	0	0	0	0	0	0	0
38. Oregon.....OR	0	0	0	0	0	0	0	(0)
39. Pennsylvania.....PA	0	0	0	0	0	0	0	(4)
40. Rhode Island.....RI	0	0	0	0	0	0	0	0
41. South Carolina.....SC	0	0	0	0	0	0	0	0
42. South Dakota.....SD	0	0	0	0	0	0	0	0
43. Tennessee.....TN	0	0	0	0	0	0	0	(2)
44. Texas.....TX	0	0	0	0	0	0	0	(1)
45. Utah.....UT	0	0	0	0	0	0	0	(1)
46. Vermont.....VT	0	0	0	0	0	0	0	0
47. Virginia.....VA	0	0	0	0	0	0	0	(2)
48. Washington.....WA	0	0	0	0	0	0	0	0
49. West Virginia.....WV	0	0	0	0	0	0	0	(0)
50. Wisconsin.....WI	0	0	0	0	0	0	0	0
51. Wyoming.....WY	0	0	0	0	0	0	0	(2)
52. American Samoa.....AS	0	0	0	0	0	0	0	0
53. Guam.....GU	0	0	0	0	0	0	0	0
54. Puerto Rico.....PR	0	0	0	0	0	0	0	0
55. US Virgin Islands.....VI	0	0	0	0	0	0	0	0
56. Northern Mariana Islands.....MP	0	0	0	0	0	0	0	0
57. Canada.....CAN	0	0	0	0	0	0	0	0
58. Aggregate Other Alien.....OT	0	0	0	0	0	0	0	0
59. Totals.....	0	0	0	0	4	0	0	(42)

**DETAILS OF WRITE-INS**

58001.....	0	0	0	0	0	0	0	0
58002.....	0	0	0	0	0	0	0	0
58003.....	0	0	0	0	0	0	0	0
58998. Summary of remaining write-ins for Line 58 from overflow page.	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003 + 58998) (Line 58 above).....	0	0	0	0	0	0	0	0

**NONE**